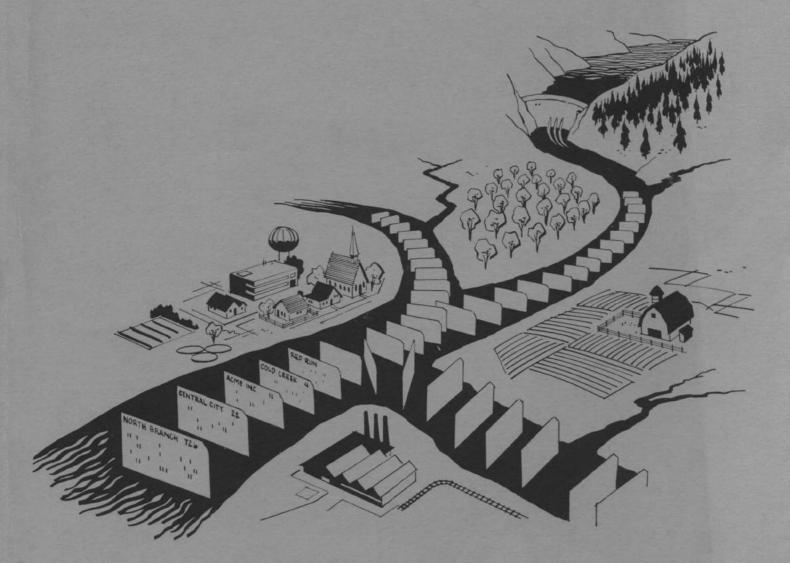
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# The River Basin Model:

# AN OVERVIEW



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# An Overview

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Envirometrics, Inc. 1100 17th Street, N.W. Washington, D.C. 20036

for the Office of Research and Monitoring Environmental Protection Agency

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# ABSTRACT

The RIVER BASIN MODEL is a man-machine model that can be use to represent in a suggestive fashion the interactions that take place within a real or hypothetical regional area between the local water system and the economic, social, and governmental sectors of that area. The computer portions of the model are a synthesis of several hundred sub-programs that deal with such regional phenomena as migration, housing selection, water supply, water quality, physical deterioration, employment, transportation, leisure time allocation, public school allocations, shopping patterns, and terminal use.

The human portions of the model allow its users to make decisions that deal with population and economic growth, water pollution abatement, recycling of water, salaries, rents, prices, land transfers, leisure time allocations, voting, boycotts, property assessment, tax rates, budget appropriations, school operation, highway operation, public construction, utility service, municipal service, water service, recreation availability, zoning, and many more. Through the computer and human portions of the model, the holistic workings of a regional river basin area may be represented for purposes of training decision-makers, simulating the aggregate impacts of alternative decisions, and performing research on the regional system itself.

When used in a gaming format, the economic decision-makers represent major corporations that allocate financial resources, operate existing businesses, and exercise the economic power associated with the control of economic assets. Social decision-makers represent population groups in one of three socio-economic classes who reside in different parts of the regional area. Government decision-makers represent local government departments and elected officials who provide either a departmental service or exercise budgetary power.

The RIVER BASIN MODEL in its present form is not usable as a predictive device. Rather, its primary function is to replicate the dynamic and interactive decision-making environment that faces persons from all interest groups who are concerned with doing something about water pollution control and the quality of the regional environment. CONTENTS

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# SECTION I

#### CONCLUSIONS

#### Summary

The water sub-model contains a number of elements desired in a model that is to be used to illustrate the comprehensive decision-making environment within which water resource decisions are made.

First, water is used in varying amounts by all of the different private activities in the model. Some of the industrial activities (surface water users) demand such large quantities of water that they can only be satisfied by drawing water directly from the same parcel of land on which they are located. Agricultural activities use ground water and may pollute nearby water bodies through run off as a function of the farm type and fertilizer used. All other private activities have the potential for creating water pollution through their dumpage into the municipal sewer system.

Second, many of the physical features of water are represented. For example, the percent of the surface of a parcel consumed by water may be dealt with. Water volume, rate of flow, and direction are also shown. Construction costs for roads are increased (representing bridges and/or tunnels) when they cross rivers. Flooding probabilities are represented and damage to activities located in the flood plain are a possibility.

Third, transportation terminals linking the local system to outside markets are able to be located on bodies of water, thereby representing in an approximate way shipping ports.

Fourth, water quantity and quality affect the amount of business generated at major recreation areas (which serve tourists and generate business for local commercial establishments.

Fifth, the pollution generated by industries, businesses, residences, and municipal sources is measured, and the impacts on the rest of the system are represented.

Sixth, the hazards to health (dollar costs and time lost) and to the physical environment (deterioration of buildings) resulting from pollution and flooding are represented. Seventh, the location of treatment plants (intake and outflow, separately) and the level of treatment (chlorination, primary, secondary or tertiary) is represented.

Eighth, the local water quality agency will be able to undertake legal, treatment, and/or public relations approaches to the water quality problems of the local area. Seven types of pollution are represented in the model. These individual pollution types are combined into a single water quality index for ease of understanding on the part of the model users.

Ninth, comprehensive planning and controls may be undertaken by the users of the model. This planning may relate to land use, development zones, utilities, zoning, transportation, and many other government services.

Tenth, a number of other regional level planning activities (such as multi-county cooperation, utility service, water supply, transportation, economic growth, and areawide pollution control) may be dealt with by the users of the model.

In sum, the River Basin Model is a general man-machine simulation of a regional environment. The model interrelates and calculates the effects of decisions made for the regional area represented by the model on a year to year basis.

The model is not designed to show its users what ought to be or to indicate what policies should be made. Rather, the model generates information on and indicators of many types of economic, governmental, social, and physical phenomenon for the represented area. It is up to the users of the model to decide which of the indices and measures are important to them. Therefore, even though the model does not set a standard for "good performance" or "success", it does contain many measures and indices necessary to evaluate "good performance" and/or "success" once the users have defined what these normative terms mean. Thus, the users provide the real normative input into the model through their interpretation and evaluation of the status of the area and its water subsystem at various points in time.

Comprehensive regional systems modeling is still in its infancy. The River Basin Model represents an early point along what will probably be a continuum of evolutionary comprehensive models that deal with the regional environment (in a broad sense of the term) and with water resources as a subsystem within this larger system.

Two forms of evolution can be made in the River Basin Model. The first is evolving good model accounts, parameters and coefficients. This will involve empirical research. Unlike most modeling efforts, the River Basin Model is a model that has been completed without any original data collection and with very little equation fitting. With a comprehensive and holistic model it is preferable to have a complete and operable model before large sums of money are spent on data collection. This does not mean that the model was completed without reference to the past empirical research performed by others. Quite to the contrary, the River Basin Model incorporates the findings of others in many parts of the model. However, it must be realized that the model deals with many relationships that have not yet been researched at all. For instance, studies do not exist that deal with employment selection on a micro level. On the other hand, some previous work has been done on such things as industrial land consumption by industry type and employment needs by business type. The results from these studies have been incorporated into the design of the River Basin Model.

A second type of evolution is to modify and add to the basic relationships represented in the River Basin Model. It is not claimed that the model contains every factor that a regional decision-maker or water resource planner wants to consider when making a decision. It does, however, contain many factors -- more than most previous models. Because of the modular design of the model it may be modified and additions to it may be made with a minimum of difficulty. An advantage of the River Basin Model is that new modules are made a part of an operable holistic model and the phenomena represented are not treated in an isolated fashion.

# Strengths and Limitations of the RIVER BASIN MODEL

Two of the strengths of the River Basin Model are that it is a very general model and a very flexible model. It is general in that it can be used to represent innumerable different starting positions. It is flexible in that is may be used by its director and players for a large number of purposes.

The limitations of the model are that the representations of actual areas and specific problems are yet to be researched and use of the present model with the hypothetical data base cannot be used for forecast or prediction purposes. This certainly does not mean that the basic model form of the River Basin Model could not be used to represent given areas. But the present data base of the model contains too much aggregation and untested parameter values to realistically be employed as a simulation of a particular area (as this term in normally used) or to gain reliable estimates of future impacts from present decisions. At best, the present configuration can be said to yield results which tend to be in the right direction and of the correct magnitude.

The model can, however, be used to represent at various levels of sophistication the census year status of American regions and urban areas. One of the two sample starting positions for the River Basin Model is an area called RAYWID CITY. The data for this regional area was derived from 1960 census data and other sources for the Cleveland-Akron metropolitan areas in Ohio. The following table indicates a few of the similarities and differences between the local system represented by the River Basin Model in the form of RAYWID CITY and the actual Cleveland-Akron Region (originally chosen because the Cuyahoga River Basin lies within its six-county boundaries).

Characteristic	Cleveland-Akron SMSA (Actual 1960 Data)	RAYWID CITY (the Cleveland- Akron area as represented by the model)
Population	2,515,000	2,508,000
Counties	6	3 aggregated jurisdictions
Land Area (square miles)	2,424	2,519
Total Personal Income Earned (millions)	5,658	5,600

A moderate amount of time (about six man-months) was spent collecting and loading actual data to get a starting position that represented the Cuyahoga River Basin to the extent that RAYWID CITY does. More time could have been spent and a slightly more accurate starting position could have been achieved. The important point, however, is that no matter how much time was spent on the data collection and fitting, the River Basin Model with its present aggregation assumptions is not able to represent the Cuyahoga River Basin as closely as all might like, and certainly not closely enough to deal with all of the very detailed regional problems and their solutions. For example, the smallest increment of industrial employment is 10,000 workers. The smallest increment of land (when the land from all six counties is included) is 40 acres. The mallest school facility is for 20,000 children from all age levels. Total water pollution is represented by seven pollutant types and a single pollution index is assumed to have some value as an indicator of water quality. For a good many purposes, these assumptions are too heroic. On the other hand, for a number of other purposes, these assumptions allow the model to collapse time and complexity so that the users of the model (be they professionals, laymen, or students) are able to grasp the interrelatedness of decisions in the economic, social, and government spheres of a regional area.

In the Cuyahoga area, for example, the model could be used to bring decision-makers together to look at and try to solve the problems of a river basin that very much looks like their own. They see and take part in the financial concern for jobs, the interaction among separate political jurisdictions, the legal problems of enforcement, and the multiple alternatives to water quality control.

The first benefit of the model in this case is the participation in a simulation of a local system over a number of years in which they collectively have the opportunity to define problems, develop strategies, implement plans, act upon feedback, and evaluate the changing status of the local system and all in a laboratory environment.

As a laboratory, the model provides an opportunity for its users to <u>practice</u> making decisions for a simulated area before they are forced to do so in real life. This can be a service to water resource planners who may need to be aware of the broad impacts of their water resource decisions. This can be useful to local professionals who seldom look at their impacts on the total system. It is useful to citizens who need to see the complexity of decision-making. It is useful to students, who seldom get the chance to make policy decisions during their academic careers.

The use of real data may assist some of the above types of users to benefit from the model but even the use of a hypothetical starting configuration (such as for the TWO CITY starting position) can provide many insights into the complexities of and interrelatedness among regional decisions. Ultimately, the strength of the River Basin Model is that it places water management decision-making into a realistic decision-making environment in which the same conflicts that emerge in real life are likely to emerge and the same types of solutions may be developed and implemented.

# SECTION II

#### RECOMMENDATIONS

This final report and the RIVER BASIN MODEL manuals (those for the player, director, and computer operator) should be duplicated in large quantities and be distributed to the following types of recipients:

- 1. EPA Training Officials
- 2. EPA personnel who deal with citizen action groups
- 3. Higher level schools that have environmental
- studies programs and water resource programs
- 4. Multi-County Planning Agencies

Critical evaluations and suggested changes should be encouraged from all recipients of these materials.

Several demonstration runs of the model should be undertaken on differnet user groups:

1. Researchers - to determine if this holistic modeling technique has any immediate usefulness in developing a more comprehensive approach to water quantity and quality issues.

2. Educators (EPA and universities) - to compare the use of the RIVER BASIN MODEL with alternative educational techniques in 1) getting students to see the complexities of water management decision-making in a regional context,
2) stimulating students in their outside readings, 3) developing a systematic approach to community-wide goal setting and evaluation, and 4) generating an interest in delving deepter into the study of water quality indexes, environmental indexes, social dissatisfaction, costs associated with treatment, and regulatory alternatives to control water quality.

3. Multi-county Planning Agencies - to test the model as a device for bringing persons from adjacent jurisdictions together to look at problems in a simulated environment that are similar to the ones they face in their own area. Also to test the model's ability to help people of diverse backgrounds to communicate to one another using the common language contained in the model.

It is also recommended that if the preliminary response to the model is favorable, EPA consider going ahead with the further evolution of the model by fine-tuning many of its parameters using a ten year test with actual 1960 to 1970 data. This would bring the model to the point where it would be dealing with parameters based upon the most recent data possible, rather than on 1960 data.

# SECTION III

# INTRODUCTION

Water resource planners have been accustomed to devel-oping and using computer models that focus to a large extent on the water subsystem of the entire river basin or regional system. This focus has been so strong that the models have not been able to deal simultaneously with a wide number of concerns that are directly or indirectly related to water resource planning, such as the effect of pollution regulations on employment of different segments of the labor force, employment by different segments of the business and government community, percent of incomes spent for various types of water uses, externalities (market values of homes, land use activity, assessed value of land, etc.) associated with water quality and use, and the financing of alternative water resource plans. In short, previous water models have not been models of an entire regional system with the water subsystem realistically interacting with all the other major subsystems.

The River Basin Model is a water resource model, but it is also a labor market model, a commercial allocation model, a migration-housing model, a land use and assessment model, a government operations model, and several more. It is a regional systems model. It deals with a full range of factors that impact on the water subsystem and a wide range of factors that are in turn affected by water resource planning decisions.

The River Basin Model deals with groups of people, corporations, and government departments as they interact with one another within a spatially constrained environment. It differs from other water models in that it generates much of the data used as inputs to water models as a result of complementary processes that are a part of the regional system. For example, a typical water model might need inputs as to where industries are located, how much they earn, what their tax payments are, and how many people they employ. In other words these are normally exogenous inputs to the model. The RIVER BASIN MODEL makes these and other factors that relate to the local water subsystem endogenously determined factors that are either human inputs or generated by computer simulations.

The River Basin Model recognizes that many concerns of the water resource planner may be handled only within the confines of a holistic model of the regional system. To deal with the economic, social, and governmental impacts of water resource planning calls for a model that incorporates and simulates the interaction of many subsystems other than that for water. Some of these subsystems are directly related to the water subsystem while others are related in only an indirect way. The River Basin Model is an attempt to represent in an operational model all of these major subsystems, and thereby place water resource planning within its realistic perspective.

The River Basin Model, given its present data base, does not, however, represent the workings of an actual regional system with enough accuracy to be used as a predictive device. It has been built using aggregated representations of people, businesses, and government activities. Its primary purpose is to give a holistic view of the workings of a hypothetical regional system and its water subsystem and to allow its users to interact in a dynamic decision-making environment.

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# SECTION IV

# BRIEF DESCRIPTION OF THE MODEL

In a sense, the River Basin Model is a misnomer, because if one places an emphasis on River" it leads one to believe that the model is primarily concerned with water management. The emphasis should be placed on "River Basin", and that term should be interpreted in its broadest context as meaning a geographical area of land. Through its two major components -human interaction and computer simulation -- the model represents the economic, social, and governmental activity that takes place within the geographical boundaries defined by the river basin or more simply by a group of contiguous counties.

The model is unlike most other simulation or human interaction models. It was not designed to accomplish any one specific purpose. Rather it was designed to let its users represent the major economic, social, and government interests that cause a regional system to function and change. As part of the functioning of this regional system, water is demanded by industries and municipal water suppliers and pollution is generated by manufacturing and commercial activities, by people, and by farm activities.

The model is a computer-assisted decision-making tool, in which a number of computer programs simulate major processes that take place in the local system such as migration, housing selection, employment, transportation, shopping patterns, the actual allocation of leisure time, and water quality determination. Users of the model provide inputs to these programs on behalf of business activities in the economic sector, and government departments in the government sector.

Normally, the users of the model are assigned decisionmaking responsibility for businesses, population units, and departments in a gaming format. This means that users become members of teams that are assigned control of:

- Economic Assets: cash, land, manufacturing plants, commercial activities, and/or residences.
- 2. Social Assets: population units that are designated as high income, middle income, and/or low income.
- Government Assets: power of the budget, taxing and assessing authority, service responsibility, and planning power.

The computer print-outs in time period T provide a detailed description of the regional area represented by the model, and the users of the model evaluate this status as individuals as team members, and collectively to define problems, establish objectives, develop strategies, implement plans, and react to feedback from the new computer printout for time period T+1.

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# Regional Area (The Local System)

Since the River Basin Model is a holistic decision-making model for a geographical area that has been pre-loaded into the computer, the choice of the initial regional configuration to be represented is very important.

The model deals with any geographical area and many of its associated economic, social, governmental, and water resource characteristics. Many of these characteristics are represented on a grid map that measures 25 square parcels of land on a side. All of the 625 possible land parcels are of equal size, so the length of a parcel side determines what overall geographical size area may be represented. Or conversely, once the total area to be represented is known, the length of a parcel side may be determined.

The latter approach was used to set the initial parcel side lengths for the model. It was decided early in the project to represent the Cuyahoga River Basin area as one of the two initial starting configurations turned over to EPA.

The Cuyahoga River Basin is located within a six county area that also happens to be contiguous with the Cleveland and Akron Standard Metropolitan Statistical Areas. To fit that six county area on the 25 by 25 grid resulted in a choice of 2 1/2 miles for the length of a parcel side for that particular load configuration. Thus each square was equated to 6.25 square miles in area.

The length of a parcel side may be changed rather easily when the model is loaded, but a realistic range of lengths would be from about .1 to 4 miles and a number of other model parameters should be simultaneously altered to correspond with the areal scale change.

Figure 1 shows a map of the economic activity represented by the model for the Cleveland-Akron area. The area is actually called Raywid City in order not to mislead users of the model that a full-fledged attempt had been made to represent that actual area. A research plan has been devised, however, that would fine-tune the model to represent an actual river basin area using 1970 census data. The scope of this project would be about four man-years and a moderate amount of computer time.

Figure 2 shows the representation of the water component within the Raywid City area. Note the map shows that rivers flow through the centers of parcels of land, each parcel length of

# Figure 1

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the river has a water quality rating (a high number is less desirable than a low number on this water quality scale), and which of the seven major pollutants is most responsible for the poor water quality rating. More about this will be said later.

The users of the River Basin Model are assigned responsibility for allocating all of the major economic, social, governmental, and water resources for the local system (the area and its activities represented on the grid map) on a year to year basis. The director of the model (the person conducting the run of the model) makes a number of decisions for the major decision-makers that are outside the local system. A number of computer programs are also available to simulate part of the actions between this local system and the rest of the world.

The initial starting position will show a particular set of allocations of the local system's resources and their effects on the status of the local area. The users of the model evaluate their own particular status within the local system as well as the status of the area as a whole. They then interact with one another in a dynamic decision-making environment in which they collectively have control over the local water quality decisions that will be made, implemented, and reacted to. Some of the model players may have apparently only marginal interest in the local water quality issues because they are pre-occupied with running schools, building roads, earning incomes, producing manufactured goods, building housing, and supplying local goods and services. Others will have maybe more interest as they attempt to be elected into public office, run the planning department, collect taxes, recreate, and develop a generally pleasant environment for their new residential subdivisions. Still others might have a direct and pressing interest in the local water quantity and quality as they attempt to set and enforce water quality standards, supply municipal water, use surface water in their production process, and benefit from major water-based recreation areas.

In short, the entire local system (at a certain level of detail) is represented by the model and its users, and water decisions are placed within their realistic context of having different importance to different individuals as a function of their occupation, location, resources, and personal inclinations.

16

# Activities

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The major activities represented in the model may be divided into three major sectors (economic, social, and governmental) and one major subsector (the water component). Each of these sectors has a number of activities that interact with the activities of the other sectors.

The major economic activity is the business operation. Four broad types of businesses are represented in the model: basic industry (manufacturing mostly) that produces goods for export to national markets, service industries that supply goods and services to local system buyers, residential developers and operators who make housing available to the local population, and farming activity which consumes the majority of the land in the region.

Figure 3 shows the detailed economic activities that fall under each of these four broad headings. The economic assets of the local system are divided up among economic teams for their management. In addition to businesses, vacant land, cash, and stock ownership may be given to teams for their use as they see fit. Teams may be set up in such a way that they are specialized (have only heavy industry, only residences, only land, etc.) or diversified (a mixture of several types of assets).

The Social Sector has one basic resource and that is people. The local system's population is divided into clusters of 500 people (or some other size if a program change is made) that are called population units (Pl's). These Pl's are further characterized by an income class (high, middle, or low), average educational level, average savings, number of registered voters, etc. Associated with income class are a number of specific characteristics such as number of workers, number of students, and many preference functions.

Social Teams are created by giving a team decision control for all the Pl's of a given class on specified parcels. A good number of the actions taken by Pl's during the course of a year in the local system are determined by computer allocation models, but the social teams may affect these by making time allocation, boycott, cash transfer, and vote decisions for the Pl's under their control.

A significant part of the River Basin Model centers around how Pl's function within the local system during the course

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# Figure 3

# Economic Activities in the RIVER BASIN MODEL

Basic Industry (sells output at markets outside the local system) Manufacturing (roughly equivalent to the 2-digit SIC code industries) FL - Furniture and Lumber SG - Stone, Clay, and Glass MP - Primary Metals MF - Fabricated Metals NL - Nonelectrical Machinery EL - Electrical Machinery

- TE Transportation Equipment
- FO Food
- TA Textiles, Apparel, and Leather
  - PA Paper
    - CR Chemical, Plastics and Rubber

Non-Manufacturing

NS - National Services (such as insurance, research, etc.)

Local Commercial (sells output competitively to local system

- demanders)
- BG Business Goods BS - Business Services
- PG Personal Goods
- PS Personal Services

Residential (provide housing space)

- RA Single Family
  - RB Garden Apartments (6 times the housing space as RA housing)
    - RC High-rise Apartments (25 times the housing space as RA)

Agricultural (consume land and use varying amounts of fertilize:

- Fl Fruit & Nut
- F2 Vegetable
  - F3 Other Field Crops
- F4 Cash Grain F5 Tobacco F4 - Cash Grain F5 - Tobacco F6 - Cotton F7 - Poultry F8 - Dairy

  - F9 Livestock
    - F10 Ranchers
    - Fll General

of each round of play which represents one year of time in the local area. Figure 4 shows the actions of Pl's as they are affected by the major operating programs.

The Government Sector is comprised of decision-makers who are responsible for a wide vareity of public activities: budget making (appropriations and revenues), land and building assessment, education, municipal services, transportation, planning and zoning, and utilities. The latter activity contains within it the water office that is responsible for the supply of public water. The Water Quality Office may be a part of this department of a separate agency.

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students of PI's are assigned to mublic or private schools based upon the quality of public schools.

specified distance of where they live

Involuntary expenditures of ferences time are calculated as a function of the success of getting part time jobs, public adult education and the time spent on transportation.

PI's are assigned to stores at which the total costs are minimized (price plus transportation to the store).

# Figure 4

Example of How Population Units Are Affected by the Major Operating Programs of the Model

Major Operating Programs

Migration

Water System

Depreciation

Employment

Transportation

School Allocation

Park Allocation

Time Allocation

Commercial Allocation

Effect on Population Unit

Pl's move to the local system, find and change housing within the local system, leave the local system

Poor water quality incareses dissatisfaction and high coliform count increases health costs and time lost due to illness.

Housing that depreciates becomes less attractive in the migration process.

Pl's are assigned to full and part time jobs that maximize net income (salary minus transportation costs), employers search for best educated workers.

Pl's travel to work by the mode and route that minimizes total costs (dollar plus time), Pl's travel to shopping along the minimum cost routes.

Students of Pl's are assigned to public or private schools based upon the quality of public schools.

Pl's are assigned to parks within a specified distance of where they live.

Involuntary expenditures of leisure time are calculated as a function of the success of getting part time jobs, public adult education and the time spent on transportation.

Pl's are assigned to stores at which the total costs are minimized (price plus transportation to the store).

# Water Component

s.

The water component is a subsector that, in a sense, cuts across the other three sectors or is a part of each. For example, some of the industrial activities in the economic sector use surface water in their production process and all other economic businesses have some need for municipally supplied water. Population units in the social sector use water as a function of their income class and the type of housing they inhabit. In the government sector, the Utility Department is responsible for supplying the municipal water needs of the residents of its jurisdiction.

Each of the surface water users requires a specified quality of water and must either treat the water they intake or purchase water from a source outside of the local system. Every water user adds some pollutants to the water it returns to the water system. If left untreated, these water discharges may lower the quality of water of the body of water into which they are dumped. Since water users and polluters are located in a geographical space, activities upstream and downstream are affected differently by the dynamically created water quality conditions.

Purchase of the kiver seals model is not a normative of optimizing model. It will not itself generate optimal policy and measures of the regional status at discrete points in time (the end of each year) and it is up to the user of the model to apply his own set of objective and subjective criteris to evaluate the absolute or relative quality of the anvironment. For example, the model will generate measures of water quality along stretches of the river, pollution dumped by various activities, local vater deficiencies, por services quality, social dissetisfaction, etc. and the user of the model must determine the values to be placed on the services are made for future years.

A systemic urban model such as the River Basin Model endervor to represent the workings of a regional system and its major subsystems. This is done by selecting the major activities that comprise the urban system (people in households, instnesses, and government agencies) and representing the action that they pursue on a year to year basis. Population groups reside in housing, earn incomes, purchase goods and services

## The River Basin Model As A Systemic Model

The River Basin Model may be characterized as a systemic model. That is, it is a model of the interactive workings of the system it represents. The River Basin Model is not a predictive, projective, or normative model. It does not predict a future state of the area represented, although it does show the immediate status of the urban area given all the resources of the system and the policies attached to the use of those resources. Therefore, it is an impact model (one year at a time) rather than any kind of predictive model.

The River Basin Model is not a projection model because it does not extrapolate present circumstances and relationships into the future. In other words, the user of the model does not "turn it on" and generate a set of future states for the area represented. The model cycles in one year increments, and in a sense, it could be used for projection if the user made the year to year decisions for the urban area for a twenty or thirty year time period. But because of the broad scope of the model and the wide range of decisions that are based upon the results of previous decisions in the economic, social, and government sectors, this particular use of the model should not be looked on as a simple task.

Furthermore, the River Basin Model is not a normative or optimizing model. It will not itself generate optimal policy decisions. The model produces a thorough set of indicators and measures of the regional status at discrete points in time (the end of each year) and it is up to the user of the model to apply his own set of objective and subjective criteria to evaluate the absolute or relative quality of the environment. For example, the model will generate measures of water quality along stretches of the river, pollution dumped by various activities, local water deficiencies, poor schools, economic rates of return, housing quality, municipal services quality, social dissatisfaction, etc. and the user of the model must determine the values to be placed on these measures as policy decisions regarding the use of the regional resources are made for future years.

A systemic urban model such as the River Basin Model endeavors to represent the workings of a regional system and its major subsystems. This is done by selecting the major activities that comprise the urban system (people in households, businesses, and government agencies) and representing the actions that they pursue on a year to year basis. Population groups reside in housing, earn incomes, purchase goods and services, take part in leisure activities, utilize government and institutional services, transport themselves as they interact with activities that are spatially separated from their places of residence, and use water. Businesses purchase goods and services, hire labor, require utilities, produce output, sell output, pay taxes, invest earnings, use the transportation subsystem, use water, and generate pollutants that may be treated. Government agencies receive funds, purchase necessary goods and service, hire labor, provide service, and set policy. Most of the departments compete with the water quality office for a slice of the local budget.

#### SECTION V

# USES AND USERS OF THE MODEL

Broadly speaking, there are two types of users of the model when it is employed using a gaming format: the director and the players.

In each use of the model the director sets the major purpose for which the model will be employed. Usually the specific group of players he has in mind will determine his choice of the executive options, such as the starting regional configuration and any round 0 inputs to modify this basic configuration.

As shown in Figure 5, the director may affect the simulated region before play begins by selecting the basic configuration and making changes in it. He may also affect the year to year outside influences on the local system by, among other things, acting as the Federal and State governments with regard to granting aid and imposing regulations. For example, the director could act as an outside government that imposes rigid water quality standards. He could also act as a higherlevel government that grants financial aid for the construction of waste treatment facilities, for comprehensive water resource planning, for enforcement and monitoring, etc. The following list is a sample of the executive options available to a director.

# 1. Choice of Initial Configuration: TWO CITY or RAYWID CITY

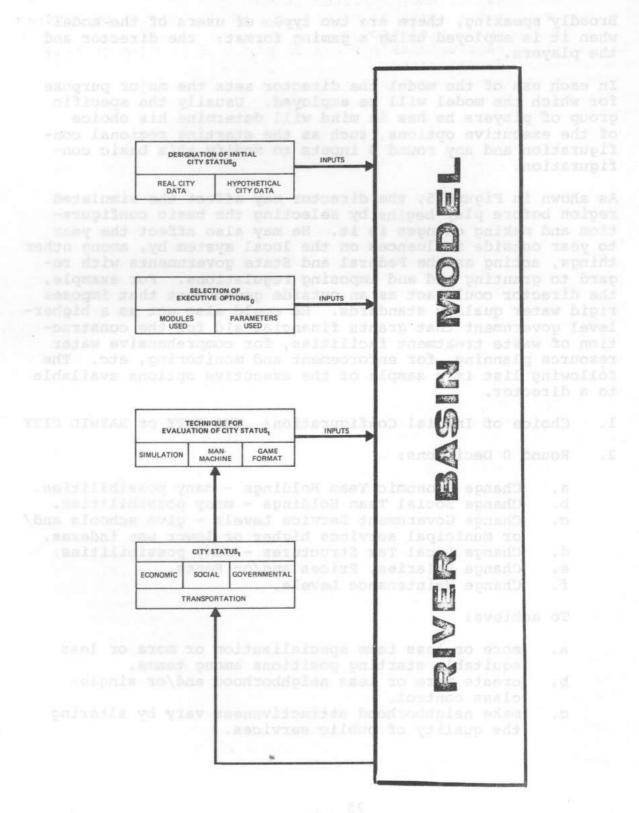
# 2. Round 0 Decisions:

a.	Change Economic Team Holdings - many possibilities.
b.	Change Social Team Holdings - many possibilities.
c.	Change Government Service Levels - give schools and/ or municipal services higher or lower use indexes.
d.	
e.	Change Salaries, Prices and/or Rents.
f.	Change Maintenance Levels.
То	achieve:

a. more or less team specialization or more or less equitable starting positions among teams.

- b. create more or less neighborhood and/or singleclass control.
- c. make neighborhood attractiveness vary by altering the quality of public services.

# INPUTS TO THE RIVER BASIN MODEL



- d. shift to or away from dependence upon property, sales, and/or income taxes.
- e. alter rates of return to economic sector or savings for social sector.
- f. make area as a whole or parts of it more or less deteriorated.

theic purposes and their distribution of the there will the fiver different forms in the hands of different users. The River hash model provides a transwork that is common for all reqional planners (muchiks a chemistry lab and the associated chemistry theory provide users of the lab with equal access to the facilities and accumulated knowledge). It allows the planner to use this framework and the computer programs associated with it to achieve a wide range of objectives (mno as the chemist may use the lab for instructional, research or production purposes).

Although the River Eastin Model as presently developed will a satisfy every need of the planner, it does allow him the opportunity to deal with a large number of regional phenomena which up to now he has not been able to deal with in a similared and collapsed-time environment.

Uters of the model are given control over all the resources of the dotal area being represented. Some of the local acthvilles use the veter subsystem while others do not. As a result of this, the water guantity and quality is of varying importance to the various activities represented by the mode

The River Barin Model is oriented noward user requirements such as generality of representation, flexibility of change, ease of inpute, and readability of output. The model provides, among other things, detail on the repercussions of various water quantity and quality levels and on the effects of water resource decisions on people and business activities it also illustrates the impact of other decisions on the water subsystem itself.

A wide range of decisions and their consequences may be illastrated by the model. Not example, in the economic sector the impacts of pollution regulation decisions may be shown. In the social sector, the effect on housing selection, employment, shopping, and leisure activities are influenced by water resource policies. The impacts of many opverment

# Using the Model

The River Basin Model is a tool that has utility which is dependent upon the quantity and quality of data loaded into its files, the executive options employed by the director, and the technique used to evaluate the city status and generate inputs to the model. These three types of inputs to the model are illustrated in Figure 5.

Users will use the tool in a way that they find best suits their purposes. It is a flexible model that will take on different forms in the hands of different users. The River Basin Model provides a framework that is common for all regional planners (much as a chemistry lab and the associated chemistry theory provide users of the lab with equal access to the facilities and accumulated knowledge). It allows the planner to use this framework and the computer programs associated with it to achieve a wide range of objectives (much as the chemist may use the lab for instructional, research or production purposes).

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A wide range of decisions and their consequences may be illustrated by the model. For example, in the economic sector the impacts of pollution regulation decisions may be shown. In the social sector, the effect on housing selection, employment, shopping, and leisure activities are influenced by water resource policies. The impacts of many government decisions may be shown: comprehensive planning programs, quality of life improvements, and many more.

The users of the model may make a wide range of private and public policy decisions which affect the water subsystem and others. The detailed and summary computer output reveals the interactions of these decisions and the collective impact they have on the environmental quality of the represented area. Since each cycle of the model represents the passage of a year of time in the area being represented, the model may be run for as many cycles as the users find desirable.

Internal Obtputs - changes in the resources of the individual decision-makers

and new modules may be added or existing mes

External Outputs - changes in the area characteris tics, allocations, assignments, matching of supply and demand, inputiticiency of government services, and complete status of the water subsystem.

The River Rasin Model is useful to citizens as well as planners because the Rodel output is designed in such a way that it is comprohestive, sany to understand, and quick to retrieve. Thus, regardless of the sophistication of the user, the model will provide the necessary level of information upon which evaluations can be made and decisions can be generated.

The cycles of the model (each set of computer output) represent one year in the life of the eres represented by the model. Users provide the evaluation of the durrent status of the area (in its economic, social, governmental, spatial, and water quantity and quality dimensions). Through a wide range of decision alternatives, they are able to devise strategies and implement policies in an attempt to achieve any det of goals or objectives they devise, as individuals or collectively

### Model Features

User interaction in the River Basin Model

- requires fewer model assumptions on the part of the designer than most previous models because the users provide much of the nonquantifiable relationships and inputs to represented system
  - . allows realistic human interaction and reaction
  - allows political repercussions associated with water resource decisions, reversal of policy, etc.
    - allows human involvement in the decision process

The River Basin Model deals with:

- External Inputs area characteristics, including the present water subsystem and quality levels
- Internal Inputs wide range of water resource, economic, social, and government decisions
- Internal Outputs changes in the resources of the individual decision-makers
- . External Outputs changes in the area characteristics, allocations, assignments, matching of supply and demand, insufficiency of government services, and complete status of the water subsystem.

The River Basin Model is useful to citizens as well as planners because the model output is designed in such a way that it is comprehensive, easy to understand, and quick to retrieve. Thus, regardless of the sophistication of the user, the model will provide the necessary level of information upon which evaluations can be made and decisions can be generated.

The cycles of the model (each set of computer output) represent one year in the life of the area represented by the model. Users provide the evaluation of the current status of the area (in its economic, social, governmental, spatial, and water quantity and quality dimensions). Through a wide range of decision alternatives, they are able to devise strategies and implement policies in an attempt to achieve any set of goals or objectives they devise, as individuals or collectively. These decision inputs may be generated in a simulation environment (in which a single user or group of users such as water resource planners are given control over all the resources of the local system) or in a game environment (in which individual users such as local officials, students and/ or citizens are given control over various resources in the local system).

The River Basin Model has been designed in a modular fashion, so that new modules may be added or existing ones replaced or modified at minimal expense. This modularity means that it is relatively easy to:

 redefine the model (change parameters and coefficients)

load various regions

This modular feature of the River Basin Model allows it to be truly evolutionary, thus making it a framework that can continually be improved and modified for specific uses.

#### SECTION VI

#### MODEL OUTPUT

The model describes and interrelates many of the actual economic, social, and governmental activities that comprise regional areas. The metropolitan area represented by the model is described by three types of computer output: maps, tabular statistics, and indicators.

#### Maps

The maps show the spatial characteristics of the represented area. The tabular output shows general information of interest to the users of the model as well as specific data concerning businesses in the economic sector, groups of people in the social sector, and government departments in the government sector. The indicators are measures such as the economic rate of return, the social dissatisfaction level, the quality of local government services, and water quality indicators.

Of the dozens of maps, the Economic Status Map (Figure 6) stands out as the one of single most importance. Any represented area may be defined by spatially locating land use activity, the highway network and the water system in any desired pattern on the grid map. Although this map does not show the local water system, there are a number of maps that do.

All physical objects (industries, stores, housing, schools, government facilities, roads, rivers, and treatment plants) are located in a specified section of the regional area. Most facilities are located on parcels of land (identified by two even coordinate numbers). Roads are loacted (conceptually) along the boundaries (sides) of the square land parcels (identified by an even-odd or odd-even number). A road on the map actually represents all the major and minor roads that connect an origin and a destination at each end of the transportation link. Transportation terminals are located at the corners of parcels (identified by two odd-numbered coordinates).

Other local system phenomena are also spatially located. Population units are housed in residences on parcels, service districts and farms are defined in terms of contiguous parcels. Figure 7 is a list of the map output separated into ten categories.

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MAP OUTPUT

Map Category	Map Number	Map Name
Commercial	10.1 10.2 10.3	Personal Goods Allocation Map Personal Services Allocation Map Business Commercial Allocation Map
Government Service	10.4 10.5 10.6	Municipal Service Map School Map Utility Map
Water System	10.7 10.8 10.9 10.10 10.11 10.12 10.13	Water Usage Map Water Quality Map Municipal Treatment Municipal Intake and Outflow Point Map Surface Water Map Farm Runoff Map River Basin Flood Plain Map
Farms	10.14	Farm Map
Property Values	10.15 10.16 10.17	Farm Assessed and Market Value Map Market Value Map Assessed Value Map
Land Use and Regulations	10.18 10.19 10.20	Economic Status Map Highway Map Planning and Zoning Map
Parks	10.21	Parkland Usage Map
Social Characteristics	10.22 10.23 10.24	Socio-Economic Distribution Map Demographic Map Social Decision-Maker Map
Physical Characteristics	10.25	Topographical Restriction Map
Government Facilities	10.26	Government Status Map

#### Tabular Computer Output

The economic, social, and government teams receive computer output that describes the details of the resources over which they have decision-making power. In addition to this teamspecific information, general and summary statistics describing the represented area are available as common information to all teams. A list of all the tabular output is shown in Figure 8.

To provide examples of the tabular output, the following descriptions of migration and the water system are provided.

1. <u>Migration</u> - The basic population grouping in the model is the population unit (P1). A Pl is designated as being a member of a socio-economic class (H, M, or L).

Pl's move into, within, and out of the local system in response to available employment opportunities, housing quantity and quality, and a number of other factors. Figure 9 shows a sample of the summary migration statistics for an area and a portion of the detailed statistics.

The Migration-Housing computer routine calculates dissatisfaction (environmental and personal); develops a pool of movers comprised of the population displaced by housing demolition, a percent of the most dissatisfied, a percent of the total population (random movers), natural population growth, and the in-migrants; and moves the members of this pool into housing that has adequate capacity and quality.

A certain percentage of each income class that are either enemployed or underemployed outmigrate from the local system. Other movers who cannot find adequate local housing also become outmigrants.

Referring to Figure 9, the Pl's living in the residences (or considering to live in the residences) on parcel 9422 see a health index of 50 (the higher the index the worse the situation). The time indexes are calculated only for the income classes actually living on the parcel. For example, on parcel 9422 there were PM groups living there and because of the distance and mode used to travel to work, 25 units of dissatisfaction were added to the personal index. Another 59 units were added to the index because of the amount of leisure time that was spent in involuntary pursuits.

# TABULAR OUTPUT

Output Category	Code Number	Output Name
Migration	1.1	Environmental Indexes
	1.2	Personal Indexes
	1.3	Dissatisfaction Cutoffs
	1.4	Migration Detail
	1.5	Migration Statistics
	1.6	Migration Summary
	1.0	Migracion bunuary
Water System	2.1	Water User Effluent Content
attent Cohatmanten	2.2	River Quality During Surface Water Process
	2.3	Water User Costs and Consumption
	2.4	Coliform and Pollution Index Values
t 171	ant Repor	A State of the state of the state of the
Employment	3.1	Employment Selection Information for PL Class
	3.2	Employment Selection Information for PM Class
	3.3	Employment Selection Information for PH Class
	3.4	Part-Time Work Allocation for PH Class
	3.5	Part-Time Work Allocation for PM Class
	3.6	Part-Time Work Allocation for PL Class
	3.7	Employment Summary
Commercial	4.1	Personal Goods Allocation Summary
Allocation	4.2	Personal Services Allocation Summary
	4.3	Business Goods Allocation Summary
	4.4	Business Services Allocation Summary
	4.5	Government Contracts
	4.6	Terminal Demand and Supply Table
	4.7	Terminal Allocation Map
Social	5.1	Dollar Value of Time
Sector	5.2	Social Decision-Maker Output
	5.3	Social Boycotts
Economic	6.1	Farm Output
Sector	6.2	Residence Output
	6.3	Basic Industry Output
	6.4	Commercial Output
	6.5	Economic Boycott Status
	6.6	New Construction Table
	6.7	Land Summary
	6.8	Loan Statement
	6.9	Financial Summary
Social and	7.1	Number of Levels of Economic Activity
Economic Summaries		Controlled by Teams
	7.2	Employment Centers
	7.3	Economic Control Summary for Teams
	7.4	Social Control Summary for Teams
	7.5	Social Control Summary Totals
	7.6	Economic Graphs for Teams
	7.7	Social Graphs for Teams

Government Detail 8.1 Assessment Report 8.2 Water Department Reports 8.3 Sampling Station Report: Point Source Ouality 8.4 Sampling Station Report: Ambient Quality 8.5 Utility Department Report 8.6 8.7 8.8 Utility Department Finances Municipal Services Department Report Municipal Services Department Finances essonal setal optimus 8,9 and Municipal Services Department Construction Table 8.10 Planning and Zoning Department Report School Department Report 8.11 8.12 School Department Finances assiD MT molection 18.13 School Department Construction Table seald H4 not notiserrolni 8.14 bel Highway Department Finances 8.15 Highway Department Construction Table Rail Company Report Bus Company Report 8.16 8.17 8.18 8.19 Chairman Department Finances Tax Summary Financial Summary 8.20 Summary 9.1 Demographic and Economic Statistics

Summary 9.1 Demographic and Economic Statistics Statistics

38

Social Control Summary for Teams Social Control Summary Totals Economic Graphs for Teams

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		96	22	25	o	0	25		DW	25	0	0 76	50 126	
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	TO BE	. 9(	672	0	LOW MIDDLE HIGH	44 64 74	0	100 100	0	16 18 18	,160 182 192		160 182 192	
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Note that there are six items that comprise the environmental index and their contributions to that index are listed in the output. The number of population units that move to and from each residential parcel and their reasons for moving are also shown in the output.

The purpose of showing Figure 9 is not to explain how the migration process works but to illustrate that the full results of the process are illustrated on tabular computer output that can be of great assistance to the users of the model in their decision-making.

2. The Water System - Figure 10 shows some tabular computer output for a local system river (River 2). This output shows the location of each segment of the river, the quality rating and major pollutant, the time period in the water's passage through a parcel, the amount of each of the seven pollutant types, and the volume of the water.

Once again, it is not important that the reader fully understand this information at this time. It is illustrated here only for the purpose of showing the type of tabular computer output generated each round as part of the model operation.

#### Indicators

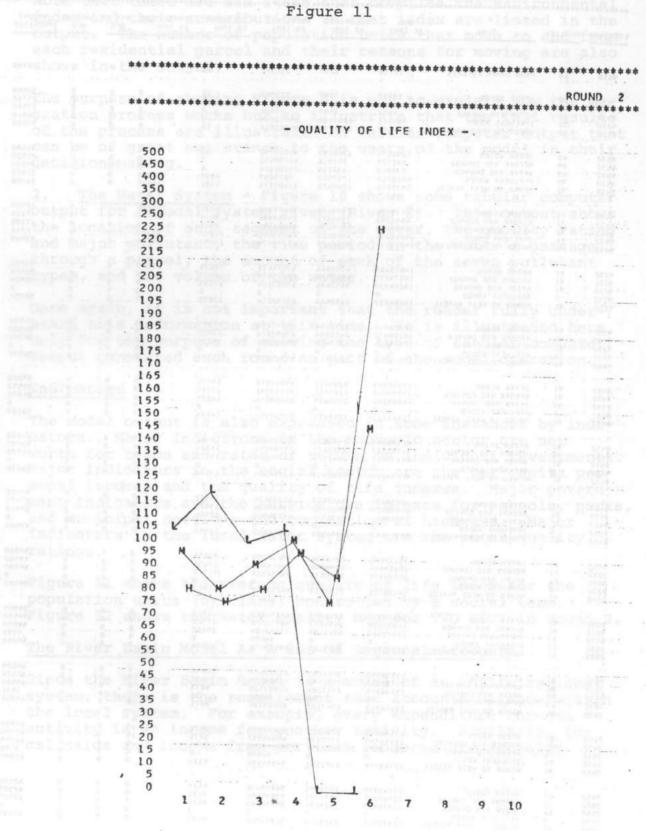
The model output is also expressed in some instances by indicators. Major indicators in the economic sector are net worth for teams and rates of return on individual investments. Major indicators in the social sector are the per capita personal incomes and the quality of life indexes. Major government indicators are the service use indexes for schools, parks, and municipal services and congestion of highways. Major indicators in the local water system are the water quality ratings.

Figure 11 shows the average quality of life index for the population units (by class) controlled by a social team. Figure 12 shows the Water Quality Map for TWO CITY in Round 2.

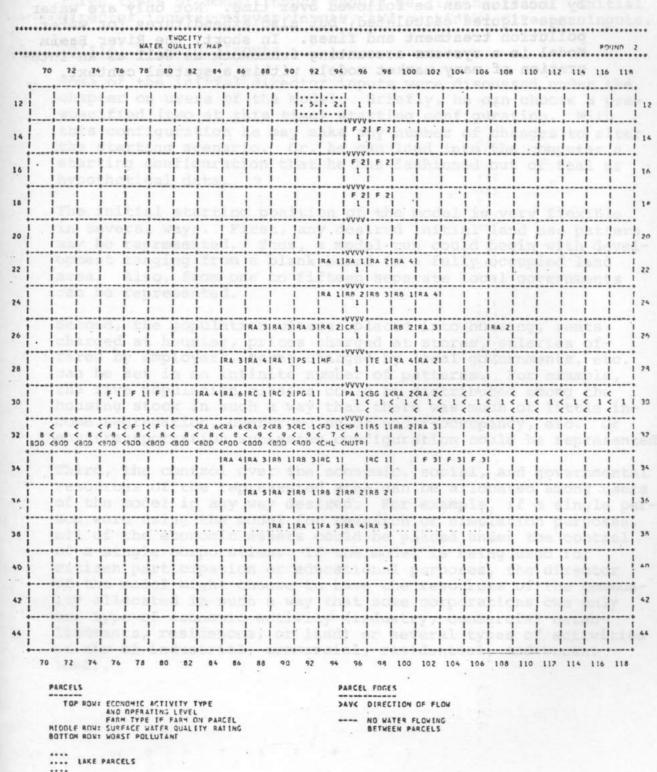
#### The River Basin Model As A Set Of Regional Accounts

Since the River Basin Model is a model of an entire regional system, there is the requirement that accounts balance within the local system. For example, every expenditure for one activity is an income for another activity. Similarly, local sales and income from services rendered are actually

		TKOCITY RIVER QUALITY	DURING SURF	ACE WATER PRI	DCF55: RTVI					ROUND	
LOCATION		TIME	800 (x 100)	CHLORIDES .	NUTRIENTS (X 100)	COLIFORM (X 100)	TEMPERATURE (X 100)		AGE OF		
*******		*******		********	*********				******	********	
9630	10	FROM OTHER PARCELS	12118	3297	213962	22	0	0	0	49700	
9630	10	AFTER AGING	12118	3297	213062	22	0	0	0	50000	
9630	10	AFFORE BID CHANGE	5662	1540 1385	99963	10	0	0	0	23360	
9430 9630	72	EFFLUENT ADDED	999072	1265412	2997004A	4	0	0	0	26640	
9630	63	MOVED TO NEXT PARCEL	1004476	1200141	30063952	13	0	0	0.	51300	
9632	63	AFTER AGING	1004476	1266797	30063952	13	000	0	0	51000	
9632	63	AFTER BIO CHANGE	561325 535810	707916 637124	16900432	7	0 0	0	0	28500	
9632	92	EFFLUENT ADDED	4500016	1912502	5625007	4500	135000	0	0	22500	
9632	72	MOVED TO NEXT PARCEL	5035826	2549526	21407231	4506	135000	0	0	51000	
9432	72	AFTER AGING	5035926	2 54 96 26	21407231	4506	135000	0	0	52000	
9432 9432	72	AFTER BID CHANGE	4561296	2309372	19389984	4081	122279	0	0	47100	
9432	91	EFFLUENT ADDED	14719873	1980107	49059646	14702	44100	0	0	6541	
9432	91	MOVED TO NEXT PARCEL	19073837	4058541	67274478	18473	44100	0	0	52000	
9232	91	AFTER AGING	19073837	4058541	67274478	18473	44100	0	0	53000	
9232	91 91	REFORE BID CHANGE AFTER BID CHANGE	19073837 18206832	4058541 3652686	67274478 63197216	18473 17073	44100	0	0	53000	
9232 9232	0	EFFLUENT ADDED	0	0	0	0	0000	0	0	0	
9232	91	MOVED TO NEXT PARCEL	18206832	3652686	63197216	17073	0	. 0	0	53000	
9032	91	AFTER AGING	18206932	3652586	63197216	17073	0	0	0	54000	
9032	91	REFORE ATO CHANGE	18206832	3652686	63197216	17073	0	0	0	54700	
9032	91	AFTER BID CHANGE EFFLUENT ADDED	17379248	3287417	59367072	15779	0	0	0	54000	
9032	91	NOVED TO NEXT PARCEL	17379248	3787417	59367072	15779	0	0	0	54 200	
	91	AFTER AGING	17379248	3287417	59367072	15779	0	0	0	55000	
8832 8832	91	BEFORE BIO CHANGE	17379248	3287417	59367072	15779	0	đ	0	55000	
8932	91	AFTER BID CHANGE FFELUENT ADDED	16589283	2958675	55769056	14583	0	0	0	55000	
8432	91	MOVED TO NEXT PARCEL	16589283	2958675	55769056	14583	0	0	0	55000	
	81	AFTER AGING	16549283	2958675	55769056	14583	0	0	0	56000	
8432	81	SFFORE BID CHANGE	16589283	2958675	55769056	14583	0	0	0	56000	
8632 8632	81	AFTER NID CHANGE EFFLUENT ADDED	15835224	2662807	52389104	13478	0 0	0	0	55000	
8632	81	MUYED 10 NEXT PARCEL	15835224	2662807	52389104	134 /8	0	0	0	55000	
					*******	13478	n	0	0	\$7000	
8432 8432	81 81	AFTER AGING REFORE BID CHANGE	15835224	2662807 2662807	52389104	13478	0	0	0	\$7000	
8432	81	AFTER BID CHANGE	15115441	2396526	49214000	12456	0	0.	0	57000	
8432 8432	0 81	EFFLUENT ADDED MOVED TO NEXT PARCEL	15115441	2396526	49214000	12456	0	ō	0	\$7000	
							al 16		0	54000	
8232	81	AFTER AGING REFORE RID CHANGE	15115441	2396526	49214000	12456	0	0	0	51200	
8232 8232	81 81	AFTER BID CHANGE	14428375	2156873	46231328	11512	0	0	0	5*100	
8232 8232	0 81	EFFLUENT ADDED MOVED TO NEXT PARCEL	14428375	2156873	46231328	11512		0	2	58300	
							0	0	0	59200	
8032	81 81	AFTER AGING BEFORE BID CHANGE	14428375		46231328 46231328	11512	0	õ	0	59700	
8032 8032	81	AFTER BIO CHANGE	13772539		43429424 96000	10639	0	0.	0	59000	
8037 8032	23 81	EFFLUENT ADDED MOVED TO NEXT PARCEL	13772539		43525474	10639	0	ō	0	59000	
				1011115	43535/3/	106 39	0		0	60000	
7832 7832	61 81	AFTER AGING BEFORE BIO CHANGE	13772539	1941195		10639	0	0	0	60000	
7832	#1	AFTER BIO CHANGE EFELUENT ADDED	13146514	1747056	40887520 96037	9833 38	0	0	0	61200 3201	
7832 7832	67 81	MOVED TO NEXT PARCEL				9871	15	6	0	60000	
1.1	1		13146545	1747102	40983557	9871	15	0	0	61000	
7632	81	AFTER AGING BEFORE SID CHANGE	13146549	1747102	40983557	9871	15	0	0	61000	
7632	81	AFTER BID CHANGE	12548978		384 995 96 96000	9123	0	0	0	61000	
7632 7632	23 81	MOVED TO NEXT PARCEL		1572391	38595696	9123	0.10	0	0	61000	
			1954 0030	1572391	38595696	9123	0	0	2	62000	
7432	81	AFTER AGING BEFORE BIO CHANGE	12548978	1572391	38595695	9123	0	0	0	62000	
7437	81 0	AFTER BID CHANGE	11978569		36256560	R431 0	0	0	0	0	
7432	81	MOVED TO NEXT PARCEL	11978569		36256560	8431	0	0	0	6:000	



the impart of water quadity and cost decisions on the financial acce ats for various population and business groups and



derived by totaling the expenditures made by the Pl's or business activities for these goods and/or services. Therefore, the impas of water quality and cost decisions on the financial accc nts for various population and business groups and by location can be followed over time. Not only are water usage figures calculated, but also expenditures for water, pollution treatment and fines. In short, the River Basin Model is a systems accounting framework as well as an integration of many market models within a spatial context.

# SECTION VII

# MODEL INPUTS

Three types of model inputs should be distinguished: initial director inputs, player inputs, and continual director inputs.

# Initial Director Inputs

Some of the initial director inputs were discussed under the chapter on users of the model. Briefly, he can choose a prespecified (two at this time) starting configuration. With this configuration he may make any number of changes to alter the starting scenario. Or, he can load into the computer a starting configuration that he has fashioned out of real or hypothetical data.

The initial starting position of the model is very flexible in several ways. First, any desired initial land use pattern may be represented. Thus, a model run could begin with development ranging from a blank board to a fully occupied land area. Also, from one to fifteen separate local governments can be represented.

Second, the population classes placed into housing, rents charged at housing, prices charged at stores, salaries offered by employers, taxes charged by local governments, etc. can be set in an infinite number of patterns. For example, the five population classes could be distributed among the housing stock in such a way that there was much or little income segregation, overcrowding or under-occupancy, etc. Or any transportation subsystem configuration could be represented.

Third, the control over the economic, social, and governmental resources of the represented area can be allocated among users of the model in any way desired. For example, if a single person were using the model for research or simulation purposes, all of the economic assets could be placed under the control of a single corporation. If the model is being used for citizen participation or educational purposes, the director of the model might choose to have the resources of the community allocated in such a way that some corporations own only one type of economic activity (industry, commercial establishments, residences, or land) or several types of activities (a mix of industrial, commercial, residential, and vacant land).

The economic, social, and government sector computer output describes the details of the resources in these sectors. In addition to this specific information, general and summary statistics describing the represented area are available as information common to all the model users.

Model users provide the evaluation of the status of the area as a whole and of the individual sector resources in particular, develop goals and objectives, formulate strategies, and make decisions for the coming calendar year. All the information on the computer print-outs describes the represented area at one point in the year. All decisions that are made take effect at that time and their impact is not seen until the decisions are processed through the computer and a new status is generated for the next year.

A subset of the initial director decisions are those that relate to the local water system. The director through the load program may create a region that has any mix of water quantity and quality characteristics. For example, a region could be configured that had very low quality water and no treatment facilities at the start of play. Or an initial starting point could be developed that had all the pollution created by activities in one jurisdiction have its major detrimental effects on activities and people in a downstream jurisdiction.

### Player Inputs

Players have available to them a wide number of possible formal decisions (ones that require processing by the computer) and they have an infinite number of informal decision options open to them. The formal decisions available to the players are summarized in Figure 13 under the three sector headings.

A subset of the player decisions are those that relate to the local water system. Economic decision-makers may build waste treatment facilities for their industries that dump into the local water system. They may also cut back operating levels of businesses in order to reduce the pollution they generate. These two decisions do not fully indicate the impact that the water component has or may have on the specific sections of the local system because of inadequate water supply, high municipal water costs, poor surface water quality, poor transportation access caused by the absence of bridges to cross a river, etc.

- 1. Economic Decision-Makers
  - buy and sell land
  - set rents
  - set prices
  - set salaries
  - set maintenance levels
  - lend money
  - borrow money
  - buy and sell conservative stocks
  - buy and sell speculative stocks
  - build and demolish three types of residences, twelve types of basic industries, and four types of commercial establishments
    - contract with construction industries
- transfer money to other economic and social and government decision-makers
  - boycott commercial establishments
  - construct chlorination, primary, secondary and tertiary effluent treatment facilities at basic industries
    - change the operating level of a business (without demolishing the building)
    - set the amount of water which is recycled at basic industries
    - construct residences which use ground water
    - operate farms
- 2. Social Decision-Makers
  - allocate time to extra work, education, politics and recreation
    - boycott work locations, commercial establishments, and modes of travel
    - vote for elected officials
    - set the dollar value of time travelling to work transfer money to other social, economic and
    - government decision-makers
    - 3. Government Decision-Makers
      - grant appropriations
      - grant subsidies
      - transfer money to other government and social and economic decision-makers
        - set welfare payments
        - set tax rates
        - float bonds

# Figure 13 (Cont.)

- assess land and buildings - buy and sell land - set the number of job openings in government - set the maintenance level of government facilities set government service districts
   request Federal-State aid - set the salaries offered government workers - build and demolish schools - build and demolish municipal service plants - contract with construction industries - grant contracts with local goods and services establishments for government purchases - set the amount of public adult education offered by the government - construct and demolish roads - construct and demolish terminals - zone land - build and demolish public institutional land uses - provide parkland - install utility service - set prices for utility service - construct and demolish utility plants - locate bus routes - buy and sell buses - buy and sell buses - set bus and rail fares - build rail lines - build rail stations - build rail stations - buy and sell rail rolling stock - locate rapid rail routes - set the amount of service on bus and rail routes set prices for private use of publicly-provided water
  - construct and demolish primary, secondary, and tertiary sewage treatment plants
  - construct and demolish water intake treatment plants
     locate municipal water intake points
  - locate municipal water intake points locate municipal sewage outflow points locate water sampling stations

    - set dam priorities
    - change a business's operating level (without demolishing the building,
       construct and demolish bridges across rivers demolishing the building)

Social sector decision-makers may be very much affected by the quantity and quality of water in the local system, but they make no direct water decisions. They do vote for elected officials, however, and to the extent that water issues are an important local concern, the social sector might influence water resource decision-making a great deal indirectly through the ballot box. These votes might be for water related referenda as well as for political officers.

The Utility Department in each jurisdiction (through its Water Office) has a number of decisions that it may make. It sets the price of municipal water for different types of buyers. It may construct intake and outflow treatment plants and locate them to best advantage taking into account water supply and quality, downstream activities, land costs, and local sentiment. It may choose where in the local water system to remove water for public consumption and where to dump the municipal wastes.

Furthermore, the water resources decision-maker may fund and locate sampling stations (ambient or point source) and set dam operating priorities (to favor recreation, flood control, and/or pollution control). Other government departments compete with the Utility Department for local citizen support and possibly for outside government financial assistance. The Highway Department is affected directly by the local water system in that it costs more to put highways across parcels that contain rivers. This higher cost represents the added expense of building bridges and tunnels.

Figure 14 shows an example of a completed team decision form and the computer "Edits" of a set of decisions for a round of the model. Since collectively the teams comprise most of the major local decision-makers of the represented area, most of the change that will take place from one round to the next will be a function of the number and type of decisions generated by the teams. The major decisions not made by the teams are those made either by computer simulators which represent the outside system impacts on the local system or by the director who may act as higher level governments or as Mother Nature and cause floods, earthquakes, and/or other forms of natural disaster.

Teams will often note that the decisions of other teams have significant effect on their own output, especially on the indicators. For example, the water quality rating for a particular section of the river might increase tremendously because of the creation of more housing with no increase in the

SAMPLE OF INPUTS AND EDITS

# INPUTS

Decision Decision-\$ \$ \$ \$ \$ \$ \$ \$ \$ J. Maker Code \$ OUBLD / = A / 7012, RB, 0, 1, 50, 60, 0, 145, \$ \$UBLD / = E / \$430, RA, 6, 4 \$ FSA 1 = 561 1 2, 9030, \$ FSA / = SC1 / 1 , 10812, STIME 1 = BB 1 H2, 20, 0, 15, 5, 5, \$ /=

# EDITS

\$0UBLD/=A/7012,RB,0,1,50,60,0,145\* NO UTILITIES REQUIRES LEVEL 1 UTILITY SERVICE ONLY HAS LEVEL 0

\$OUBLD/=F/8430,RA,6,4\*

\$FSA/=SC1/2,9030\* AID REQUEST OF SC1 FOR 9030 GRANTED

municipal treatment facilities. Rates of return might drop because of increased local tax rates or assessments, higher maintenance costs or service charges, increased competition, etc. Or housing dissatisfaction might increase because the housing stock has deteriorated, rents have gone up, or local government services have decreased in quality.

The interactions among the various components of the urban system that cause these interrelated movements of decisions and indicators is generated by several major simulations contained within the computer program of the model. The model is indifferent as to how the inputs are generated. That is, the inputs could be generated as a result of a game format or by a single model user. The game format could be capitalistic and democratic in nature or socialistic.

### Periodic Director Inputs

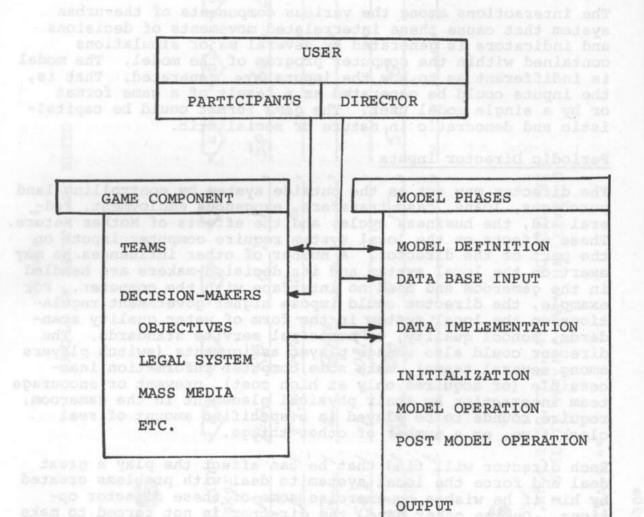
The director may act as the outside system by controlling land purchases, loans, cash transfers, exogenous employment, federal aid, the business cycle, and the effects of Mother Nature. These effects on the local system require computer inputs on the part of the director. A number of other influences he may exert on the local system and its decision-makers are handled in the gameroom and need no interface with the computer. For example, the director could impose higher government regulations on the local system in the form of water quality standards, school quality, or municipal service standards. The director could also change player assignments (switch players among several teams), make some computer information inaccessible (or acquired only at high cost), prevent or encourage team interaction by their physical placement in the gameroom, require rounds to be played in a specified amount of real clock time, or a number of other things.

Each director will find that he can affect the play a great deal and force the local system to deal with problems created by him if he wishes to exercise some of these director options. On the other hand, the director is not forced to make any of these periodic inputs. The model will continue to function without his use of these prerogative director decisions.

## Summary

Figure 15 summarizes the user inputs to the model. This figure shows the interaction of the user (as director or participant) of the model with the game component and with the phases of the model. First, as part of "Model Definition" the director has the option to define parts of the model

INTERACTION BETWEEN THE USER AND THE RIVER BASIN MODEL



phases of the model. First, as part of "Model Definition" the director has the option to define parts of the model

(parcel size, jurisdiction boundaries, population-scale, etc.). Second, as part of the "Data Base Input" he can input two types of data -- parameter values for the operating programs (for example, coefficients for migration, typical construction costs, normal units of production for industries, etc.) and the number and location of population units and activities (for example, residences and the social class of the occupants, businesses, government buildings, roads, bodies of water, etc.).

The director makes these decisions once, and they define the starting configuration of the system to be represented. The geographical scope of the region represented by the director is a function of the parcel size and the number of parcels used to represent the system. Thus, a single county or a multicounty river basin area could be represented. The director also has the option to make no decisions and instead start with one of the two pre-specified hypothetical configurations.

As a third type of decision ("Teams"), the director may affect the game format by the allocation of resources to economic, social, and governmental decision-making bodies that are called teams. A final type of director influence is one that he may choose to exert any time during the operation of the model. By making inputs to the model (using the same input format as the participants), the director can control the outside system influences on the local system (federal-state aid, business cycle, federal regulations, etc.) and some local phenomena (flooding, federal employment, etc.).

The participants of the model are members of teams, and through the teams they become the decision-makers of the local system. As decision-makers, the participants establish individual and collective goals, create any needed institutions (such as a legal system, mass media, unions, etc.), evaluate the status of the local system and its constituent parts, and make decisions for the period of time represented by a cycle of the model (one year).

These decisions are input at the "Data Implementation" phase of the model, and they interact with one another and with the present status of the system to create a new status of the system. The new status is illustrated on the computer output, which then serves as the basis for new evaluation on the part of the decision-makers and a new cycle of game play.

#### SECTION VIII

#### EXPLANATION OF THE WATER COMPONENT

The water component can be looked at as a module that is plugged into the other major modules of the regional model. This module could be changed without changing other parts of the model (and vice versa) as long as the links among the modules were modified accordingly. Figure 16 shows the major linkages between the water module and the other modules and sectors that comprise the River Basin Model.

#### Water Quality Ratings

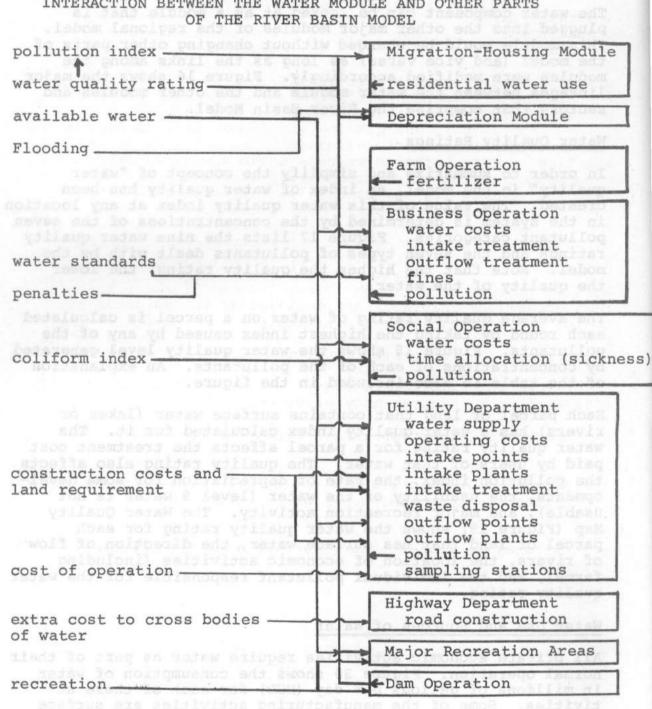
In order to summarize and simplify the concept of "water quality" in the model, an index of water quality has been created. The value of this water quality index at any location in the system is determined by the concentrations of the seven pollutant categories. Figure 17 lists the nine water quality ratings and the seven types of pollutants dealt with by the model. Note that the higher the quality rating, the lower the quality of the water.

The average quality rating of water on a parcel is calculated each round by taking the highest index caused by any of the pollutants. Figure 18 shows the water quality level generated by concentrations of each of the pollutants. An explanation of the table is also included in the figure.

Each parcel of land that contains surface water (lakes or rivers) has a water quality index calculated for it. The water quality rating for a parcel affects the treatment cost paid by users of that water. The quality rating also affects the pollution index, the rate of depreciation for some developments, the usability of the water (level 9 water is not usable), and major recreation activity. The Water Quality Map (Figure 19) shows the water quality rating for each parcel of land that has surface water, the direction of flow of rivers, the location of economic activities (including farms), and the individual pollutant responsible for the water quality rating.

#### Water Use and Sources of Water

All private economic activities require water as part of their normal operation. Figure 20 shows the consumption of water in millions of gallons per day (MGD) for each of these activities. Some of the manufacturing activities are surface water users, and they must intake water from the parcels on which they are located. All of the other activities use municipally supplied water (except those few residences which have private water supplies).



INTERACTION BETWEEN THE WATER MODULE AND OTHER PARTS

numicipally supplied water (except those few residences

Water	
Quality Rating	Description
1 2	Drinkable - best quality water Drinkable - with minor treatment
2	Swimming - direct body contact possible
4	Boating and Fishing - indirect body contact possible
5	Fair esthetic value
6	Poor esthetic value - treatable at moderate cost
7	No esthetic value - treatable at high cost
8	Negative esthetic value - treatable at very high cost
9	Unusable water
Pollutants	Description
BOD	Biochemical Oxygen Demand
Chlorides	Chlorides are employed as an indicator of persistent pollutants.
Nutrients	Phosphate, nitrite, nitrogen, and phosphorous.
Coliform Bacteria	Indication of the potential health hazard of a given body of water.
Temperatur	The temperature deviation from the normal temperature of the surface water.
Oil and Floating Solids	Any oil and all floating solids such as refuse, garbage, cans, boards, and tires.
High-Level Wastes	Highly toxic, non-degradable substances.

			Wat	er Qual	ity Leve	els			
Pollutant Types	1	2	3	4	5	6	7	8	9
BOD (LBS/MG)	10	20	30	40	60	100	150	300	> 300
Chlorides (LBS/MG)	5	10	15	20	30	40	60	80	> 80
Nutrients (LBS/MG)	25	50	100	200	400	800	1600	3200	> 3200
Coliform Bacteria (parts per MG)	2	6	12	20	40	70	120	160	> 160
Temperature	0	0	l	2	4	7	10	14	> 14
Oil & Floating Solids	0	0	. 0	0	0	> 0	> 0	> 0	> 0
High Level Wastes	0	0	0	0	0	0	0	> 0	7 0

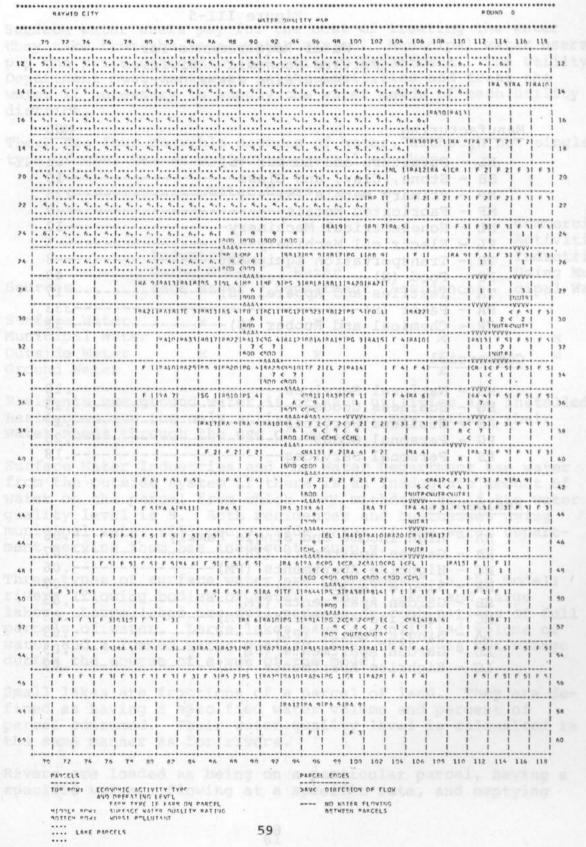
# Definition of the Nine Comprehensive Water Quality Levels

Figure 18

#### Explanation of the Table

In order to determine the water quality level or index of given amounts of water, take the concentrations of each of the seven pollutant categories and calculate the water quality level based upon each pollutant separately. For example, a BOD concentration of 25 LBS/MG would yield an index of 3, coliform bacteria of 169 parts per MG would yield an index of 9, and the presence of oil and floating solids would allow the water quality to be no better than 6. The worst (highest) water quality index that was calculated using the pollutant types separately, is assigned to the given amount of water. If the water on parcel x had the three pollutants described above, it would be assigned water quality index of 9.

Looked at another way, water quality level 4 is attained when a body of water has concentrations of BOD that exceed 30 but fall below 41, coliform bacteria concentrations above 12 but below 21, etc.



### Figure III-5

### Water Requirements For Private Economic Activities (S=Surface Water User)

### Manufacturing

### 

#### Commercial

NS	-	National	Services18
BG	-	Business	Goods13
BS	-	Business	Services17
PG	-	Personal	Goods23
PS	-	Personal	Services18

### Residential

HA	-	High Income and Single Family08
HB	-	Garden Apartments (PH)07
HC	-	Highrise Apartments (PM)06
		Single Family (PM)07
MB	-	Garden Apartments (PM)05
MC	-	Highrise Apartments (PM)03
LA	-	Single Family (PL)03
LB	-	Garden Apartments (PL)03
LC	-	Highrise Apartments (PL)02

MGD

Surface water users pay for the cost of treating the water they take from the local water system. Municipal water users pay the price charged by the Utility Department. The Utility Department must construct intake facilities and treat the water if necessary to supply the water needs of each utility district.

There are four possible sources of water, although no single type of user has an option to use all of these.

Water Users

				Activities &
Sources	Industries Using Sur- face Water	Water Department	Residences	Industries Using Muni- cipal Water
Sources	Iace water	Department	Residences	Cipal water
Surfáce Water	x	x		
Municipal Wate	r		x	x
Outside Water	x	x	x	x
Ground Water			х	

Residents use ground water if private utilities are installed. Residences are the only activities that may satisfy their water needs through the use of weals.

Surface Water Industries and the Water Department use water from the outside system if there is an inadequate amount of water on the parcel from which they withdraw or if the water quality level is 9. Both residences and businesses using municipal water will use outside water if the water department serving them has inadequate supply.

Three types of surface water are represented in the model: rivers (flowing bodies of water), small lakes and large lakes. Large lakes are full parcels or combinations of full parcels of water. Large lakes have an unlimited volume of water and a loaded water quality level that does not change during the course of a run of the model.

Small lakes are fractions of a parcel of land. They are defined as having a specified water volume and percent of parcel consumed. Their water quality level is calcualted in the same manner as for rivers.

Rivers are loaded as being on a particular parcel, having a specific volume, flowing at a specific rate, and emptying

into a designated adjacent parcel. Rivers may or may not consume a significant (one percent ormore) portion of land or parcel. In other words, the land area consumed by a river may not be large enough to take into account.

All volumes are expressed in millions of gallons per day (MGD), and rates of flow are expressed in parcels of land traversed in a day by a particle of water in the river.

The following table summarizes the types of water and their characteristics.

Types of Surface Wate:	Water Quality Level	Rate of Flow
Rivers	Calculated	Specified
Small Lakes	Calculated	Not Applicable
Large Lakes	Specified	Not Applicable

# Pollutants Generated

All economic activities return their used water to the local water system. Surface water users may opt to treat all or part of the water they return to the system with one of four types of treatment. The other economic activities return their water to the water system via the outflow point of the utility district in which they reside.

The specific amounts of pollution generated per level one activity and per million gallons of water for each of the types of economic activities is shown in Figure 21. Note that the pollution generated by residences is a function of both the type of housing and the income class living there.

# Pollution Monitoring

The Water Office of the Utility Department may find out the detailed components of the water quality rating for any water parcel (the ambient water quality) or for any point source of water outflow (from surface water industries or from the municipal outflow point). Figure 22 shows examples of the ambient and point source sampling station reports. Note that the point source information includes the economic owner of the economic activity, the type of economic activity, and the type and level of treatment facilities, if any.

Rivers are loaded as being on a particular parcel, having a

Manufacturi	da BOD (LBS/MG)	Chlorides (LBS/MG)	Nutrients (LBS/MG)	Coliform (PARTS/MG)	Temperature	Oil and Solids	High Level Wastes
FL SB MP MF NL EL TE FO TA PA CR	600 500 1000 500 400 800 500 6000 6000 3000 2000	100 100 170 150 200 180 400 130 380 600	1000 1000 500 700 100 200 100 10000 4000 3000 800	20 10 20 30 20 20 30 300 20 150 50	9 6 0 0 0 9 18 16 4	1 0 1 0 0 0 1 1 1	0 0 0 0 0 0 0 1 1 1
Commercial	ning n						
NS BG BS PG PS	100 200 150 250 100	0 0 0 0	0 0 0 0	20 10 15 20 15	0 0 0 0 0	0 0 0 0	0 0 0 0
Residential	ati of gi						
HA HB HC MA MB MC LA LB LC	1000	50 50 40 40 40 30 30 30	100 100 80 80 80 70 70 70 70	500 500 500 500 500 500 500 500	0 0 0 0 0 0 0 0	1 1 1 1 1 1 1	0 0 0 0 0 0 0 0

POLLUTION GENERATED BY ECONOMIC ACTIVITIES

63

****	******	\$ A MI	PLING STATIC	IN RFPORT:	AMBIENT OU	ALITY **********	*****	*******	******	JUR ISDICTION ************	2 *******	
LOCA	TION	BOD (LBS/MG)	CHLORIDES (LBS/MG)	NUTRIENT (LBS/MG)		TS DEVI	ATTON F	LDATING	HIGH LEVFL WASTE	S (MGD)	QUALIT	TΥ
0	620	0.0	0.0	10.20		.0 0 0 0 0	0.0	ND	NO	260.00	0 1	
	672	0.0	0.0	9.22		- V-2	0.0	NO	NO			
	624							NO	NO		-	
	626	0.0	0.0	7.86		.0	0.0					
		106.90	53.45	861.54	1997 B.	.05	0.43	NO	YES			
	612	0.0	0.0	0.0		.0	0.0	NO	NO			
	614	0.0	0.0	6.67		• 0	0.0	NO	NO			
	616	.0.0	0.0	9.70	5 (T)	.0	0.0	NO	NO		-	
	619	0.0	0.0	11.29		.0	0.0	NO	NO NO		-	
	628	131.41	50.92	799.11	0	. 43	0.0	YES	YES			
9	637	424.74	135.72	1150.11	10 1	.08	0.66	YES	YES	500.00	8	
9	632	401.90	127.26	1125.40	0 0	.98	7.45	YES	YES	510.00	) 9	
9	432	967.50	153.13	1982.20	20	. 53	5.15	YES	YES	520.00	9 0	
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9422 9424 8826 9026 9226	******** ******** OWNER  B C B F E	BUSINESS TYPE AND LEVEL RA 1 RA 1 RA 3 RA 3 RA 3 RA 3	**************************************	********** Y TION REPOR ********** VOLUME (MGD)  0.10 0.10 0.10 0.35 0.35 0.35	BDD (LBS/MG) 1070.00 1070.00 1100.00 1100.00	CHLORIDES (LBS/MG) 37.00 37.00 40.00 40.00	**************************************	********** BACTER S (PAR ) PER	**************************************	URISDICTI 	ON 1 OIL AND FLOATING SOLIDS YES YES YES YES YES YES YES	HIGH LFVI WAST
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#### Pollution Treatment

Surface water using industries and the municipal water offices may treat their water outflow to reduce its concentrations of pollutants. Figure 23 shows the effectiveness of the four types of treatment in removing the seven types of pollutants. For example, chlorination is effective against only coliform while tertiary treatment is effective against all of the pollutants.

#### Effects of the Water Quality Index

The Water Quality Index on a parcel of land has direct effects on the following factors.

- 1. Treatment costs of water withdrawn from that parcel by the Water Department.
- 2. Treatment cost of water withdrawn by an industrial surface water user on that parcel.
- 3. The amount of personal consumption emanating from Major Recreation Areas located on or near that parcel.
- 4. The pollution index for that parcel.

The Pollution Index is a part of the Environmental Index which is used as a basis for determining the attractiveness of a residential parcel of land for potential in-migrants. A high Pollution Index also affects the probability of population <sup>U</sup>nits moving away from a residential parcel.

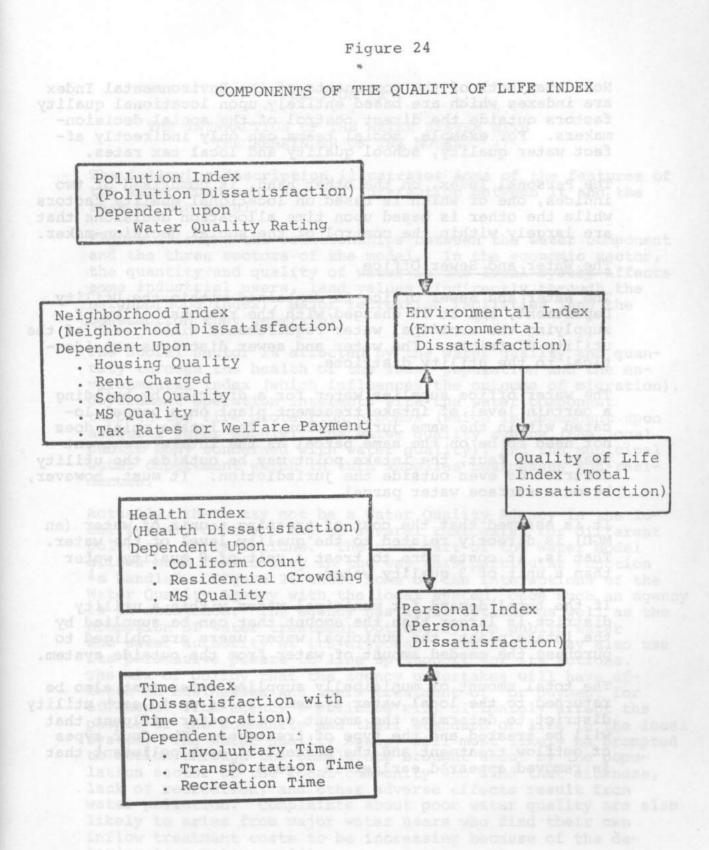
The Health Index for a parcel of land influences the amount of money spent by population units for health services and the amount of time lost from leisure activities. It also affects the Personal Index, which in turn influences the amount of dissatisfaction experienced by population units on a parcel. The Health Index for a parcel of land is based upon the concentration of coliform bacteria in the water. This is the only case in which a single component of the water quality index is handled separately.

All of the dissatisfaction indexes and quality of life indexes are calculated in such a way that a high value indicates high dissatisfaction or low quality of life. In Figure 24 the components of the Quality of Life Index are illustrated. For each of the indexes, the corresponding dissatisfaction term is provided in parentheses.

# EFFECTIVENESS OF TREATMENT TYPES:

# PERCENT OF POLLUTANT REMOVED

Pollutant	Chlorination (CL)	Primary (PT)	Secondary (ST)	Tertiary (TT)
BOD		50	80	99
Chlorides	Traffort of	Chine Holmes	50	90
Nutrients	ADDATES T		20	99
Coliform	99	99	99	100
Temperature	-		anh-attat	100
Oil and Solids	- 1	100	100	100
High Level Waste	er withdrawn	tew to ad	coather to coa	100





Note that both of the components of the Environmental Index are indexes which are based entirely upon locational quality factors outside the direct control of the social decisionmakers. For example, social teams can only indirectly affect water quality, school quality and local tax rates.

The Personal Index, on the other hand, is comprised of two indices, one of which is based on locational quality factors while the other is based upon time allocation decisions that are largely within the control of the social decision-maker.

#### The Water and Sewer Office

The Water and Sewer Office is contained within the Utility Department, and it is charged with the responsibility of supplying the municipal water requirements within each of the utility districts. The water and sewer districts are identical to the utility districts.

The water office supplies water for a district by building a certain level of intake treatment plant on a parcel located within the same jurisdiction. The intake point does not need to be on the same parcel as the intake treatment plant. In fact, the intake point may be outside the utility district or even outside the jurisdiction. It must, however, be on a surface water parcel.

It is assumed that the cost of treating a unit of water (an MGD) is directly related to the quality level of the water. That is, it costs more to treat a unit of 8 quality water than a unit of 3 quality water.

If the total demand for municipal water within a utility district is larger than the amount that can be supplied by the intake plant, the municipal water users are obliged to purchase the needed amount of water from the outside system.

The total amount of municipally supplied water must also be returned to the local water system. It is up to each utility district to determine the amount of its water effluent that will be treated and the type of treatment. The four types of outflow treatment and the percent of each pollutant that is removed appeared earlier in Figure 23.

#### SECTION IX

#### THE INTERACTION OF THE WATER SYSTEM WITH THE REMAINDER OF THE MODEL

The following description illustrates some of the features of the water component and the interaction between it and the rest of the model.

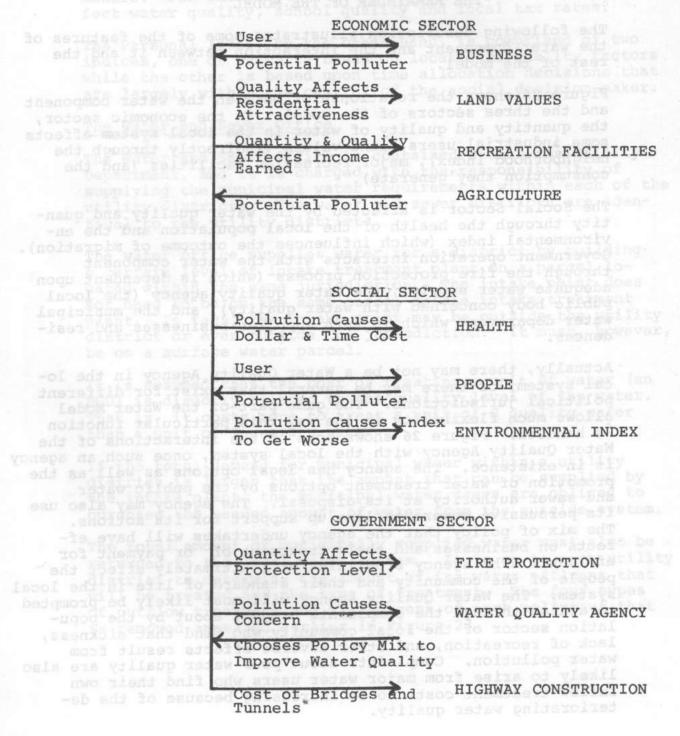
Figure 25 shows the relationships between the water component and the three sectors of the model. In the economic sector, the quantity and quality of water in the local system affects some industrial users, land values (indirectly through the neighborhood index), major recreation facilities (and the consumption they generate).

The Social Sector is affected by the water quality and quantity through the health of the local population and the environmental index (which influences the outcome of migration). Government operation interacts with the water component through the fire protection process (which is dependent upon adequate water supply), the water quality agency (the local public body concerned with water quality), and the municipal water department which in turn supplies businesses and residences.

Actually, there may not be a Water Quality Agency in the local system, or there may be several that exist for different political jurisdictions. The game part of the Water Model allows much flexibility in the way this particular function is handled. Figure 26 shows some of the interactions of the Water Quality Agency with the local system, once such an agency is in existence. The agency has legal options as well as the promotion of water treatment options by the public water and sower authority at its disposal. The agency may also use its persuasive powers to line up support for its actions. The mix of policy that the agency undertakes will have effects on businesses and their treatment of or payment for effluent. The agency's policies will ultimately affect the people of the community and their standard of life in the local system. The Water Quality Agency will most likely be prompted to action through the complaints brought about by the population sector of the local community who find that sickness, lack of recreation, and other adverse effects result from water pollution. Complaints about poor water quality are also likely to arise from major water users who find their own inflow treatment costs to be increasing because of the deteriorating water quality.

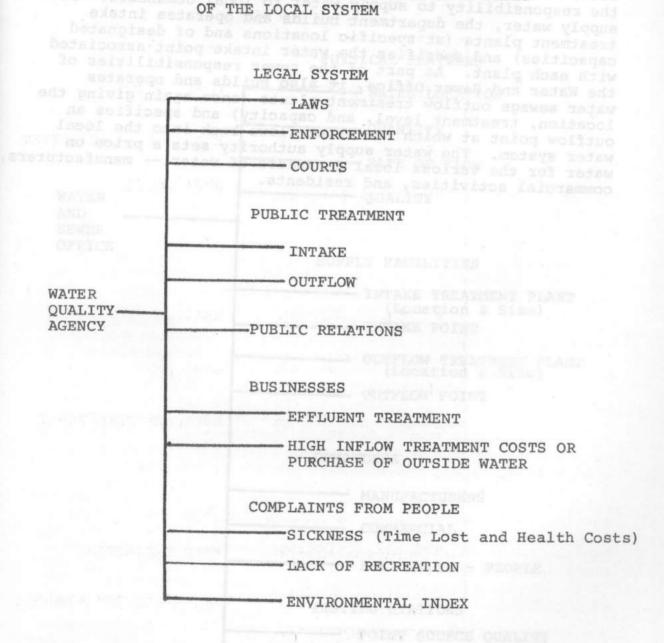
## Figure 25

INTERACTION BETWEEN THE WATER COMPONENT AND THE THREE SECTORS OF THE MODEL



The supply of municipal water in the local system is handled

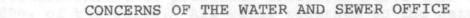
Figure 26 INTERACTIONS OF THE WATER QUALITY AGENCY WITH PARTS OF THE LOCAL SYSTEM



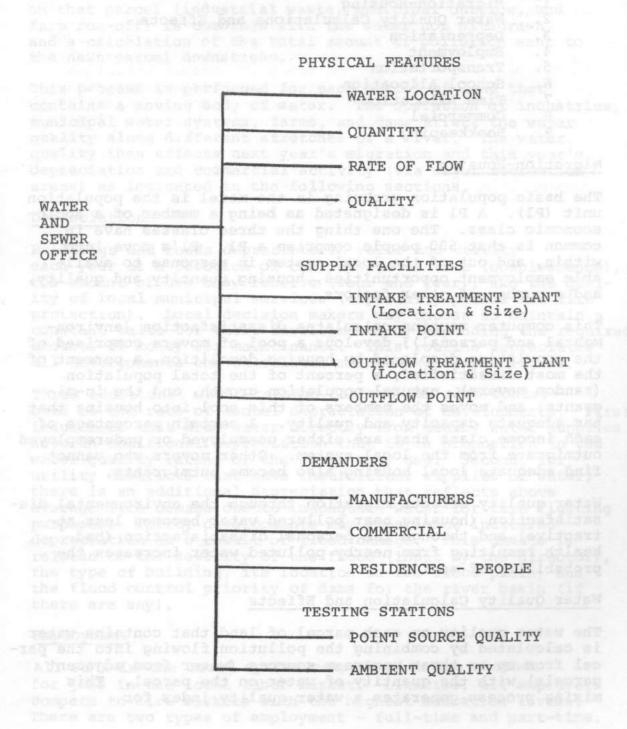
71

The supply of municipal water in the local system is handled by a Water and Sewer Office. This department is concerned with the hydrological features of the local environment. As shown in Figure 27 the location of water, its amount, rate of flow, and quality is of concern to the department which has the responsibility to supply water to local demanders. To supply water, the department builds and operates intake treatment plants (at specific locations and of designated capacities) and specifies the water intake point associated with each plant. As part of the sewer responsibilities of the Water and Sewer Office, it also builds and operates water sewage outflow treatment plants (once again giving the location, treatment level, and capacity) and specifies an outflow point at which the water flows back into the local water system. The water supply authority sets a price on water for the various local demanders of water -- manufacturers, commercial activities, and residents.

# Figure 27



Weber on that prinkl for all users on that ane 19931



#### Operating Programs

Regardless of what format is used to generate the decision inputs, the model executes the same major operating programs:

- 1. Migration-Housing
- 2. Water Quality Calculations and Effects
- 3. Depreciation
  - 4. Employment

  - Employment
     Transportation
     School Allocation
  - Time Allocation 7.
  - 8. Commercial
  - Bookkeeping 9.

#### Migration-Housing

The basic population grouping in the model is the population unit (Pl). A Pl is designated as being a member of a socioeconomic class. The one thing the three classes have in common is that 500 people comprise a Pl. Pl's move into, within, and out of the local system in response to available employment opportunities, housing quantity and quality, and a number of other factors.

This computer routine calculates dissatisfaction (environmental and personal); develops a pool of movers comprised of the population displaced by housing demolition, a percent of the most dissatisfied, a percent of the total population (random movers), natural population growth, and the in-mi-grants; and moves the members of this pool into housing that has adequate capacity and quality. A certain percentage of each income class that are either unemployed or underemployed outmigrate from the local system. Other movers who cannot find adequate local housing also become outmigrants.

Water quality affects migration through the environmental dissatisfaction (housing near polluted water becomes less attractive) and through the personal dissatisfaction (bad health resulting from nearby polluted water increases the probability of moving).

#### Water Quality Calculation and Effects

The water quality on each parcel of land that contains water is calculated by combining the pollution flowing into the parcel from up to three upstream sources (water from adjacent parcels) with the quantity of water on the parcel. This mixing process generates a water quality index for

water on that parcel for all users on that parcel (industries, municipal water systems, and major recreation areas). That portion of the water which is not withdrawn has a certain amount of pollution disappear based upon the rate of flow of the water. All water returned to the water system on that parcel (industrial waste, municipal outflow, and farm run-off) is combined with the water not withdrawn, and a calculation of the total amount of pollution sent to the next parcel downstream.

This process is performed for each parcel of land that contains a moving body of water. The operation of industries, municipal water systems, farms, and dams affect the water quality along different stretches of a river. The water quality then affects next year's migration and this year's depreciation and commercial activity (via major recreation areas) as indicated in the following sections.

#### Depreciation

Buildings and roads depreciate in value and utility each year as a function of the passage of time (obsolescence), the amount of use they receive (wear and tear), and the quality of local municipal services (especially police and fire protection). Local decision makers may choose to maintain a constant value for their developments by expending the required amounts of money for maintenance. This routine depreciates all developments and calculates maintenance expenditures.

Three additional water-related factors can also contribute to the rate of annual depreciation of developments. First, industries that draw water directly from nearby water supplies have an additional depreciation that is in proportion to the water quality rating of the water they use. Second, for utility districts that have insufficient supplies of water, there is an additional depreciation that reflects above average fire damage duetto inadequate water for fire fighting purposes. Third, developments may experience increased depreciation as a result of flood damage. This damage is related to the severity of the flood (input by the director), the type of building, its location in the flood plain, and the flood control priority of dams for the river basin (if there are any).

#### Employment

All Pl's in the local system compete with one another for jobs in the local labor market. Likewise, all employers compete to hire workers with the highest education levels. There are two types of employment - full-time and part-time. The full-time employment routine assigns population units (high income first and best educated first) to full time jobs based on the assumption that workers will attempt to maximize their net salary (salary received minus transportation costs using last year's transportation cost figures). Pl's will take jobs in the next lower class if none are available in their class. The part-time employment routine assigns part-time workers (80 time units in part-time work equals one full-time job) to part-time jobs on the basis of best education first. The number of time units allocated to part-time jobs is set for each group of Pl's on a parcel by the social decision-makers. If time is allocated for part-time work, but not enough part-time jobs exist, the dissatisfaction of the Pl's is increased.

If plants that are causing water pollution are shut down or forced to curtail output, then the reduction in the required labor force will have its repercussions throughout the system. The employment routine treats the former employees of the shut down plant as unemployed at the start of the routine and assigns them to other jobs if extra jobs are available in the local system.

#### Transportation

Pl's that are employed are assigned to a mode of travel and to a specific route by this computer routine. Taking the origins (homes as determined in migration) and the destinations (jobs as determined in employment) this allocator assigns workers to transportation mode and routes in an effort to minimize total transportation costs (dollar costs plus the dollar value of time spent) subject to the constraints imposed by public transit capacity, road congestion, and transportation boycotts.

Each employer may offer a unique salary; Pl's from a single parcel may be employed at several different locations, and three transportation modes (auto, bus, and rapid rail) may be considered. Government decision-makers may affect the transportation access (and thereby indirectly affect employment choices) by choosing where to build roads of different capacities, where to run bus lines, what fare to charge, and where to build and operate rapid rail service.

#### School Allocation

Each Pl contains a number of school age children who attend public schools, if the public schools are available and meet quality criteria that differ by income class. This routine assigns students by class (low class first) to public schools or private schools based upon school quality criteria (quality of plant and equipment, quality of teachers, and the student-teacher ratio) and capacity of the school serving their district. Population units who send their children to private schools as a result of local public school deficiencies must bear the cost of such private education.

Another school allocation routine assigns adults from Pl's to public adult education programs in proportion to the amount of leisure time allocated by Pl's to such programs. The local education authority provides public adult education programs by hiring teachers and using existing educational facilities. If Pl's are not able to spend as much in adult public education programs as they wanted, then their personal dissatisfaction increases.

#### Time Allocation

For each Pl grouping, time spent in transportation is deducted from a total of 100 units; then time spent in parttime employment is deducted; public adult education time is deducted; private adult education costs are determined and the time is deducted; voter registration is changed as a result of the time spent in politics and the time is deducted; and time is deducted for time spent in recreation, and consumption of PG and PS is increased above the normal amount. The remaining time is labeled "involuntary" time, which contributes to the level of dissatisfaction calculated for the following year.

#### Commercial

Each Pl grouping must purchase units of personal goods and units of personal services each year. Establishments that sell personal goods and personal services must sell exclusively to local system demanders. These establishments compete with one another through locational advantages and by prices for a unit of goods or services sold. In the commercial routine, the purchases (normal and recreationrelated) of the population groups on a parcel and residential maintenance expenditures are allocated to personal goods and personal services establishments using the criteria that establishments have a limited capacity and that shoppers attempt to minimize total costs (sale price plus transportation charges).

'In a similar fashion, purchasers of business goods and business services must buy annually from BG and BS establishments. These establishments compete with one another to supply the local demand. In the commercial routine, the purchases of businesses (including personal goods and personal services establishments) for normal operation and for maintenance are allocated to business goods and business services establishments based upon the same criteria as above (an infinite-capacity outside supplier sells goods and services at prices in excess of normal local prices).

The amount of purchases from local personal goods and services establishments is affected by the normal amount of business generated by Major Recreation Areas and the present quality rating of the water bodies serving those recreation areas. Thus, consumption at local stores will rise somewhat with good water quality and fall with poor water quality. This consumption is assumed to be made by tourists from outside the local system.

#### Bookkeeping

This routine makes all the final calculations of incomes and expenditures and of indicators for use in the detailed computer output to the economic activities and teams, the social decision-makers, the government departments, and the summary statistics.

and units of personal services each year. Batabilishes that sell paraonal coods and personal services much real to exclusively to local system domanies. These establishments commercive of a differentiation domanies withings sells. In the, and by writes of a differentiation domanies in the sells. In the, and commercive differentiation domanies in the sells. In the, and commercive the dominant sells of shiftings sells. In the, and commercive the dominant sells of shiftings sells. In the, and personal sells of a stabilishes are allocated to personal production as tabilishes have a limited capacity and that shoppers

### Interrelated Activities (Subsystems)

The basic design assumption of the model is that if the major activities that take place within a regional area are represented and related to one another, then the actual demands for water quantity and quality will result from the operation of these activities. Furthermore, the realistic way in which water resource decisions and their impacts affect the urban system can only be represented in a holistic model that incorporates public and private decision-making.

The major decision-making actors are business (the economic sector), the local population (the social sector) and public policy makers (the government sector). They interrelate with one another in a physical and institutional environment that takes into consideration spatial relationships, ties to a larger outside system, and allocates goods, services, labor, incomes, etc. by a number of market operations.

The major markets are:

- 1. Interrelationships with the Outside System
- 2. Migration and Housing
- 3. Employment and Transportation
- 4. Commercial and Transportation
- 5. Time Allocation
- 6. Public Goods and Services
- 7. Allocation of Financial Resources
- 8. Demand for and Supply of Water

The four basic building blocks of the model are business types, population units, government functions, and parcels of land. All of these factors are dealt with in a micro manner. That is, an individual population unit (representing a given number of people with loaded or derived characteristics) finds housing at a specific location, is employed by a specific employer (if in fact it is employed), shops at designated locations, etc..

#### Basic Building Blocks

Much of the design effort associated with the development of the RIVER BASIN MODEL was spent developing general and usable concepts of land parcels, business activities, population units and government functions. A general concept is required so that any area in the continental United States can be represented. The concepts must be usable in the sense that the users of the model are able to understand the basic system relationships of the model and the statistical output generated by the computer within a relatively short period of time.

#### Parcels of Land

The geographical area represented by the model will be comprised of land parcels. A parcel of land has the following characteristics:

- A place from which distance to other parcels is measured.
  - A size (number of acres or square miles), a shape (square) and a unique identification number (pair of coordinates).
    - 3. A number of constituent percents of land.
    - A single owner of the privately owned portion of the parcel.
    - 5. A single zoning classification.
    - 6. A single private land use.

All geographical areas (such as political jurisdictions, special districts, river basins, flood plains, etc.) are defined in terms of full parcels of land.

An important characteristic of the sum of all the parcels, which define the map boundaries, is that they define the geographical limits of the local system. All activities and decision-makers that are outside of the regional boundaries comprise the outside system. There may be some activities (Federal installations and state institutions) and some decision-makers (at the Federal and state level) that are physically within the boundaries of the region. These activities and their employment impacts are part of the local system, but their policy is made as part of the outside system (exogenous).

#### Business Activity

The RIVER BASIN MODEL contains business activity within four categories: manufacturing, commercial, residential, and farms. Within each of these categories there may be many specific business types. For example, eleven types of manufacturing may be represented, five types of commercial, three types of residences and five types of farms. Business activities must be located on parcels of land. The production function for each manufacturing and commercial business is dependent upon the quantity and quality of plant and equipment, and the amount of labor hired.

SECTION .

# SECTION X.

## APPENDICES

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#### APPENDIX A

#### EXAMPLE OF THE CALCULATION OF THE WATER QUALITY ON A PARCEL

The workings of the water system can be illustrated by an example of how water quality in a river is treated on a single parcel of land for a typical working day in the year. Assume parcel A has been loaded to receive the flow of water from B and C, and to empty into D (Figure A-1).

The loaded values for A are the MGD (millions of gallons) per day) on the parcel (a measure of the maximum volume of water on the parcel that may be tapped by users) and the rate of flow of the water (in parcels per day). The percent of the area of the parcel that is consumed by the river is also part of the load data, but it is not systemically related to the performance of the river.

The water volumes that flow into A from B and C do not have to equal the volume that flows from A to D. It is assumed that feeder streams and small rivers not explicitly represented by the model may contribute to the increased volume of water on parcel A.

#### 1. Calculation of the Initial Water Quality on a Parcel

The values of water inflowing to parcel A from parcels B and C, in conjunction with the concentrations of each pollutant type, are important in determining the water quality on A. The product of the pollutant concentration and the volume of the water yield the pollutants in each of the seven categories that flow into A. These are mixed together and related to the water volume on A to determine pollution concentrations, which in turn yield the water quality level on parcel A.

Assume the following numbers relate to parcel A

 $\begin{array}{l} \text{MGD} \\ \text{A} \end{array} = 100 \end{array}$ 

Rate of Flow<sub>A</sub> = 6 PARCELS/DAY

and the following pollutants are contributed by parcels B and C

BODB	=	500	LBS/DAY
BODC	=	2400	LBS/DAY
Chlorides <sub>B</sub>	=	150	LBS/DAY
Coliform <sub>C</sub>	=	65	PARTS/DAY

84

#### Figure A-1

Parcel B Water Flow Parcel C Parcel A CALCULATE WOI Water Withdrawn 🛻 Used by Not Flow by Water Dept. Industry Withdrawn 1 King CALCULATE POLLU-Returned ---> by Water Dept TION SENT TO NEXT PARCE a quality or Farm Runoff Parcel D Water Flow on a darcel can i ustries that

VISUAL REPRESENTATION OF WATER ACTIVITY ON A SELECTED PARCEL If these were the only pollutants inflowing to A, then the water quality index for parcel A would be calculated as a function of these three pollutant categories.

The water quality level based upon each pollutant category is calculated separately:

1) Calculation of quality level based upon BOD:

$$\frac{BOD_{B} + BOD_{C}}{MGD_{A}} = \frac{500 + 2400}{100} = 29 \text{ LBS/MG}$$

This concentration of BOD gives an initial water quality level of 3. (See Figure A-2)

2) Calculation of quality level based upon chlorides:

 $\frac{\text{Chlorides}_{B}}{\text{MGD}_{A}} = \frac{150}{100} = \frac{1.5 \text{ LBS/MG (yields a water}}{\text{quality level of 1)}}$ 

3) Calculation of quality level based upon coliforms:

$$\frac{\text{Coliforms}_{C}}{\text{MGD}_{2}} = \frac{65}{100} = .65 \text{ parts per MG (yields a water guality level of 1}$$

Therefore, the actual water quality index for parcel A is the highest of the above three calculations, or 3. This means that the water users who use the water on parcel A collectively have access to 100 MGD of water with a quality level of 3. It is this quality level that is used to derive the pollution index for parcel A. This is also the quality level that determines the cost borne by water users who use surface water from that parcel.

2. The Use of Water on the Parcel

Conceptually the water on a parcel can be looked at a as shown in Figure A-3.

All of the water that is withdrawn by industries that are surface water users is returned to the system before the water leaves the parcel. The Water Department may withdraw water from the parcel and dump it back onto the same parcel, or it may dump it on a downstream parcel. Or the Water Department could dump water onto parcel A that it withdrew from an upstream parcel.

The types of activities that draw water from a parcel are:

#### Figure A-2

			Wat	er Qual	ity Leve	els			
Pollutant Types	1	2	3	4	5	6	7	8	9
BOD (LBS/MG)	10	20	30	40	60	100	150	300	> 300
Chlorides (LBS/MG)	5	10	15	20	30	40.	60	80	> 80
Nutrients (LBS/MG)	25	50	100	200	400	800	1600	3200	> 3200
Coliform Bacteria (parts per MG)	2	6	12	20	40	70	120	160	> 160
Temperature	0	0	1	2	4	7	10	14	> 14
Oil & Floating Solids	0	0	0	0	0	> 0	>0	> 0	> 0
High Level Wastes	0	0	0	0	0	0	0	> 0	70

#### Definition of the Nine Comprehensive Water Quality Levels

Explanation of the Table

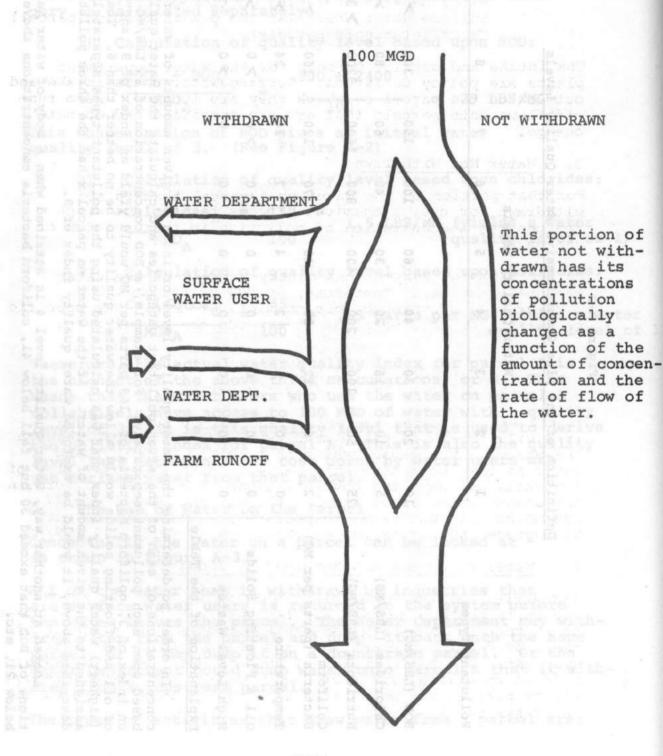
In order to determine the water quality level or index of given amounts of water, take the concentrations of each of the seven pollutant categories and calculate the water quality level based upon each pollutant separately. For example, a BOD concentration of 25 LBS/MG would yield an index of 3, coliform bacteria of 169 parts per MG would yield an index of 9, and the presence of oil and floating solids would allow the water quality to be no better than 6. The worst (highest) water quality index that was calculated using the pollutant types separately, is assigned to the given amount of water. If the water on parcel x had the three pollutants described above, it would be assigned water quality index of 9.

Looked at another way, water quality level 4 is attained when a body of water has concentrations of BOD that exceed 30 but fall below 41, coliform bacteria concentrations above 12 but below 21, etc.

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#### Figure A-3

#### WATER WITHDRAWN, NOT WITHDRAWN, AND ADDED TO A PARCEL



Water Department (at an intake parcel) Surface Water Users (at the plant location parcel)

The types of activities that pour water into a parcel are:

Water Department (at an outflow parcel) Surface Water Users (at the plant location parcel) Farms (at runoff parcels)

The intake and outflow parcels for the Water Department plants are policy decisions. Surface water users intake and outflow on the parcel on which they are located. Farm runoff flows onto parcels that are pre-specified and do not change.

#### Water Not Withdrawn

For that portion of the water on a parcel that is not withdrawn for use, a chemical process takes place which reduces the concentration of pollution in that portion of the water.

In our example, assume that ninety percent of the water was not withdrawn. Therefore, 90 MGD of the quality 3 water will go through this chemical process. The specific pollutants of this water as it entered the parcel were:

BOD	=	29 LBS/MG
Chlorides	-	1.5 LBS/MG
Coliform	=	.65 parts per MG

Figure A-4 indicates the percentage of BOD and Coliform that will remain in the unused water after it flows through the parcel. The unused water is combined with the used water as they both leave the parcel. With a Rate of Flow of 6 parcels per day, the concentrations of BOD and Coliform in the unused water after flowing through the parcel are 27 LBS/MG and .56 Parts/MG, respectively. Chlorides do not biodegrade, so the amount of chlorides is still 1.5 LBS/MG.

#### 4. Water Withdrawn and Returned by Industry

Industrial surface water users draw water from the parcel (if it is not the poorest quality water -- level 9) and treat it so that it is usable for their purposes. As a result of their production process they add pollutants to the water they use. It is the choice of these industries or, possibly a legal requisite, to treat their outflow and thereby remove all or part of the pollution in the water they return to the water system.

# Figure A-4

#### Elimination of Three Pollutants Due to Time in the Water

Percent of Original Pollutant Remaining at the End of a Flow Through a Parcel

Rate of H of the Ri	iver			
(parcels	per day)	BOD	Nutrients	Coliform
1 101.00		50	33	17
2	(sluggish)	75	67	58
4		09	83	79
6			89	86
8		96	92	90
11	(average)	96	94	93
15	WEITE DEPT.	97	96	95
22	(fast)	97	97	96
30		98	98	97
44		99		98
			the second second second	

Industrial surface water users draw water from the percel (if it is in the poores quality water -- level 9) and treat it so that it is usable for their purposes. As a result of their production process they add pollutance to the water unity use. It is the choice of these industries or, possibly a legal requiring, to their their outflow and thereby remove all or part of the pollution in the water they return to the water system. Assume that there is a surface water user which uses 10 MGD and returns that much water with the following pollution concentration characteristics:

BOD	=	100	LBS/M	G		
Chlorides	=	50	LBS/M	G		
Coliform	=	5	parts	per	MG	

Since this industry uses 10 MGD its total pollution for the day is 1000 LBS of BOD, 500 LBS of Chlorides, and 50 parts of Coliform. This pollution is added to the pollution coming from other sources to calculate the pollution concentrations leaving parcel A.

5. Water Withdrawn by the Water Department

If water is withdrawn from a parcel by the Water Department it need not be returned to that parcel. Assume that no water was withdrawn from parcel A for use by the Water Department.

#### 6. Water Returned by the Water Department

The Water Department may or may not treat the outflow from one of its plants that outflows onto parcel A. In any case, the water returned will have an accompanying concentration of some or all of the pollutants. Assume that 20 MGD of water were returned to parcel A by the Water Department and that it had the following pollutants:

BOD	=	50	LBS/M	G		
Chlorides	=	10	LBS /M	G		
Coliform	=	15	parts	per	MG	

This pollution is added to the pollution coming from other sources to calculate the pollution concentrations leaving parcel A.

7. Water from Agricultural Sources (Runoff from Farms)

Although agricultural activities do not withdraw water from the water system, it is possible for run-off from farms to flow into the water system. Farms create pollution in relation to the type of farms and the amount of fertilizer used. Assume that the farm run-off to parcel A was 10 MGD and it had a concentration of nutrients of 100 LBS/MG. This pollution is added to the pollution coming from other sources to calculate the pollution concentrations leaving parcel A.

#### 8. <u>Calculation of the Final Pollution Concentrations on a</u> Parcel

The concentrations of each of the pollutant categories on parcel A are calculated so that they may be used to compute the water quality level on the parcel into which they flow.

The concentrations by pollutant category for parcel A are as follows:

	LBS/MG	MGD	LBS
BOD:			
Water Not Withdrawn	28	90	2520
Industrial Water	100	10	1000
Water Department Return	50	20	1000
Farm Runoff	0	10	0
			vide meda
Total Pounds			4520
Concentration (LBS/MG)	45.	20	
Chlorides:			
Water Not Withdrawn		90	135.0
Industrial Water		10	500.0
Water Department Return		20	200.0
Farm Runoff	t of beau		0
Total Pounds	following,	and y	835.0
Concentration (LBS/MG) .	8.	35	
Coliform: DM 190 80150			
Water Not Withdrawn	.59	90	53.10
Industrial Water	5 5	10	50.00
Water Department Return	15 15	20	300.00
Farms	0	10	0
Total Parts			403.10
Concentration (Parts per			105.10
totay wathdriv don ob said!			
	100	10	1000
Total			1000
Concentration (LBS/MG) .			1000
concentration (Bb3/MG) .	10.	00	
A REAL OF THE ACCURATE AND A REAL PROPERTY OF THE REAL OF THE ACCURATE AND A REAL OF THE ACCURATE ACCURATE AND A REAL OF THE ACCURATE ACCURATE AND A REAL OF THE ACCURATE AND A REAL OF THE ACCURATE AND A REAL OF THE ACCURATE ACCU			

#### APPENDIX B

#### PROGRAMS OF THE COMPUTER PROGRAM

The computer program for the River Basin Model is comprised of 325 sub-programs. These programs are listed in Figure B-1. Some of the programs are service routines used a number of places in a cycle of the model, while others are very specific programs that are used only once during a cycle.

The modules of the model are composed of one or more of these programs. For example, the Migration Modules uses the following 12 programs to move population units into, out of, and within the local system.

- HSDSST calculate and stores dissatisfaction indices for all Pl's on board.
- 2. <u>GETCUT</u> determines what personal dissatisfaction constitutes a 20% cutoff point for each class.
- 3. <u>MOUOUT</u> determines how many Pl's of each class on each residence working at each employment location will move out for reasons of (1) unemployment, (2) underemployment, (3) mobility, or (4) dissatisfaction.
- 4. <u>UNCRWD</u> calculates percent occupancy of each residence and determines how many Pl's of each class on each residence must move out as a result of overcrowding.
- 5. <u>DISPLC</u> determines how many Pl's of each class on each residence working at each employment location will move out in order to move out enough to satisfy UNCRWD's requirements.
- 6. INMIG determines how many immigrants will move in and how much natural population growth there will be.
- 7. <u>SETUP</u> determines where Pl's will move into, using PICKRS.
- 8. PICKRS finds best available and acceptable housing.
- 9. MOVFN does actual moving in of Pl's as determined by SETUP.
- 10. JANOUT prints migration detail.
- 11. MIGSUM prints migration summary.
- 12. KLEAR tidies up after housing demolitions.

99. REDE 151. TOOP 203. ORDE 100. HISTOR 152. INLIN 204. OPCH

#### Figure B-1 MARDONS REPORT TO BLANDONS

## Alphabetical List of the Programs that Comprise the RIVER BASIN MODEL

	i beneis	Com	prise the	RIVER BA	SIN MODEL			
1.	ACAUC	49.	COUNTR	101.	HISTLD	153.	JANOUT	5
2.	ACBLD	50.	CTYLOD	102.	HISTRE	154.	JANOUW	All French and
3.	ACBMAIN	51.	CTYMAIN	103.	HLTCST	155.	JURWRD	turne a second
4.	ACRID	52.	DAMPR	104.	HRTRN	156.	KLEAR	10 - 1 - 1 - 1
5.	ADDBUS	53.	DBND	105.	HSDSST	157.	LANDO	
6.	ADDCTU		DEMMP	106.		158.	LINT	2112 512
7.	ADDPOL		DEPREC		HWYMAP	159.	LITR	10,012
8.	ALCMAIN		DIST	108.		160.		add area
9.	APACK	57.	DOBLDS	109.	IBCOR	161.	LNDMNS	fuo Ca
10.	APPROP	58.	DSTLD	110.	IBIN	162.	LNDPAR	- deix de
11.	AS	59.	DUMIES	111.		163.		- <u>1</u> -2-2-2
12.	ASSESR		ECBOY	112.	IBLOCK	164.	LNDSCH	
13.	ASSESS		ECNSTM	113.	IBNPY	165.	LNDTRM	224.
14.	ASSOUT		ECSUM	114.	ICOTRN	166.	LNDUNH	225
15.		63.	EDIT	115.	IDEMCI	167.	LNDUTS	
16.	ASVSET		EDLEVL		IDEMEC		LOADMS	
17.	AUCTN			117.	IDLIN	169.	LOADSC	
18.	AVAILL	66.	EDTMAIN		MAINPGM	170.	LOANS	- Chattan
19.	AVLDSB	67.	EMP	119.	IEF	171.	VECBLK	
20.	BGBSCT	68.	EMPLOC	120.	IFIND	172.	NLNCDS	
21.	BLANK	69.	EMPMAIN	121.	ILFACOM	173.	CNTRL	
22.	BLC	70.	EMPRT	122.	ILFALL10	174.	VECTOR	
23.	BLDRR	71.	EMPSUM	123.		175.	LONSOT	
24.			EMPTAX		ILFCSAS	176.	LOSTA	
25.	BNDPAY	73	ENDS		ILFEXT10		LUTS	
26.	BNDPT	-7.4	FARES	126.	ILFFINT	178	MAJREC	
27.	BONDS	75.	FBUSS	127.	ILFFORT	179.		
28.	BOUND	76.	FETCEN	128.	ILFGEN10	180.		
	BOYCOT	77.	FISTA	129.	ILFLERF	181.		
	BSHMAIN		FMDATA	.130.	ILFLEXP	182.	MIGSUM	
31.	BSRROT		FNDLET	131.	ILFLLOG	183.	MKVMP	
32.	BTMBND		FNDTEM	132.	ILFLSCN	184.	MOVIN	
33.	BUILD	81.	FNDTYP	133.		185.		
34.	BUSDMP	82.	FORTPRE	134.		186.		
35.	CHGUTS	83.		135.			MSQUAL	
36.	CHKRTN	84.	FRMMAP	136.	ILFSEXP	188.		
37.	CHPAR	85.	FRMOUT	137.	ILFSLOG	189.		
38.	CHPVT	86.	FSA	138.	ILFSSCN	190.	MUNPLT	
39.	CHRTRN	87.	FSAAMT	139.	ILFTRBK	191.	MUNPTS	
40.	CHTRK	88.	FSMAX	140.	ILFUNF10	192.		
	CITEAM	89.			ERMESG	192.	MUSER	
42.	CLIEAM		FTCHVK FXDWTR		IODF	193.	NAMET	
		91.			CHARS	194.	NCHPVT	
43.		92.	GAILMN	144.				
44.	COMCON		GETBLK	145.				
45.	COMDIG	93. 94.	GETCUT	145.	TNAME NAMLU	197.	NEWCOD	- V2
	CONAC	94.	GETVAL	140.	SOCIAL	198.	NEWCON	
47.	CONGES		GOVMNT			199.	NEWJOB	
48.	CONIN	96.	GVTSMP	148.		200.	NSPACK	
		97.	HDSDP		INROAD	201.	NUMDT	
		98.	ADEN	151.	INRTN3		NUMEDT	
		99.	REDE		IOCF	203.	ODDS	
		100.	HISTCK	152.	IRLIN	204.	OPCM	

205.	OPCMAIN	257.	SETEMP	309.	MDDVOU
206.	OUTPCU	258.	SETLAM	310.	
207.	PARCRD	259.	SETRSZ	311.	WRBLD
208.	PBCMST	260.	SETSTF	312.	WRCST
209.	PBDEBT	261.	SETUP	 313.	WRITM
210.	PEOPLE	262.	SINDEX		WRPRC
211.	PGMP	263.	ECBOY	314.	WRRES
212.	PGMPS	264.	SOCNAM	315.	WRTBAL
213.	POPCNT	265.	SOCSUM	316.	WRYMAIN
213.	PRCHED	266.	SORTEM	317.	WRYOU
214.	PRCSET	267.	SPLIT	318.	WTRHWY
215.	PRINTY	268.	SPPTEM	319.	WTRINP
217.		269.		320.	WTRMAP
	PRIVAT		START	321.	WTRPRC
218.	PRKLOC	270.	STPTRN	322.	WTRQUL
219.	PRYMAIN	271.	TALOC	323.	ZEREN
220.	PRYMAN	272.	TAXES	324.	ZERO
221.	PRYOU	273.	TAXEZ	325.	ZRLL
222.	PU	274.	TAXSUM		
223.	PUBMAIN	275.	TERMS		
224.	PUNC	276.	TMALC		
225.	PUTNUM	277.	TMCSH		
226.	PWS	278.	TMVAL		
227.	PZ	279.	TOPRES		
228.	PZMAP	280.	TPRMP		
229.	RAIL	281.	TRCMAIN		ALL TO DE
230.	RAND	282.	TREAT		
231.	RANGUS	283.	TRKMAP		
232.	RCASH	284.	TRMMAIN		
233.	RDMTLV	285.	TRNCMP		
234.	RDWEAR	286.	TRTRC		
235.	RECCHK	287.	TRTST		ten: BOD.
236.		288.	TRTYP		
237.	REDIST	289.	TSBYC		
238.	RENTS	290.	TSCAN		
239.		291.	TYMALC		
240.	RIVWAT	292.	UNCRWD		
241.	RLSBLK	293.	UNPRTX		
242.	ROUTES	294.	UNUSE		
243.	RTBLD	295.	UTCMP		
244.	RTEMP	296.	UTMAP		
245.	SALTAX	297.	UTS		
246.	SAMPL	298.			
240.		299.	VALU		
247.	SCECMP		VALUE		
	SCHOUT	300.	VECDEF		
249.	SCHPVT	301.	VECDFL		
250.	SCMAP	302.	VECDMP		
251.	SCRNCH	303.	VOTES		
252.	SDMMP	304.	VSTALL		
253.	SETALL	305.	WATOUT		
254.	SETCAP	306.	WBUSS		
255.	SETCOM	307.	WHD1		
256.	SETDAM	308.	WLFSUB		

#### APPENDIX C

#### SIMPLIFICATIONS IN THE MODEL

In order to make the River Basin Model practically usable, and still not require that the players have previous water management experience (or business or government experience, either), it was necessary to simplify the complexity that actually exists in the way water is used; the way pollutants are generated, treated, and degraded in the water system; the way water prices are set, and the way businesses and government departments operate.

This means that a number of factors are omitted or are lost in the aggregation, linear relationships are employed in cases where in reality a more complex functional relationship is involved, and attention is paid to making relationships understandable to the model users. This last model-building guideline is difficult to achieve because of the large number of factors involved and related to one another in the model.

To illustrate some of the model-building trade-offs that constantly cropped up in the RIVER BASIN MODEL, the case of the biodegradation of pollutants will be discussed.

#### Biodegradation of Pollutants

Because of a lot of other considerations, the number of pollutants that the model would deal with was reduced to seven: BOD, chlorides, nutrients, coliform, temperature deviations, oil and floating solids, and high level wastes.

Several of these pollutants tend not to disappear or be reduced in magnitude as a result of the time they are in the water (for example, chlorides, oil and floating solids, and high level wastes).

Initially (Spring of 1971) it was decided that there would be some dimunition of chlorides over time (due to settling to the bottom of the water) and no dimunition in the other two persistent pollutants. By late 1971, a complete reversal had been made. As the result of a meeting with EPA personnel, it was decided not to have chlorides biodegrade at all. The other two pollutants, oil and floating solids and high level wastes, were made to disappear after being in the water for five parcels downstream from where they were dumped. There is no way to rationalize the disappearance of these two types of pollutants in such a short span (12 1/2 miles downstream). The change was made because several runs of the model with the assumptions of no disappearance and with disappearance after 10 parcels gave results and water quality levels that we felt were too much of a penalty for water users many parcels downstream. Therefore, the design staff made the value judgment that for playability purposes these two pollutants should disappear after being in the water for five parcels downstream from where they are dumped. Any model user who does not agree with this value judgment (either on scientific or playability grounds) will find that a systems analyst can change that parameter with very little difficulty.

The temperature measurement is deviations from the normal temperature (assumed to be 75 degrees F). Since heat dissipates rather quickly, it was assumed that the temperature would drop a certain number of degrees each parcel. This is reasonable especially since a parcel is 2 1/2 miles in length.

Coliform bacteria actually has a period of multiplication (about its first 1/2 day in the water) before dying off. Rather than represent this, we made the assumption that the coliform generated by residences and industries remained in the internal sewers for the first 12 hours before being released into the surface water system. In this way, it was necessary to represent only the decay portion of the coliform bacteria life cycle.

The same type of equation is used to represent the decay of coliform bacteria, BOD, and nutrients. Only the coefficients of the equation are changed from one type of pollutant to another. The basic equation is:

 $A_t + A_{t-1} \left(1 - \frac{1}{C^* ROF}\right)$ 

where at assessment to the second of because a solution because at a solution because at a solution because at a

A = The amount of the pollutant (LBS or PARTS) C = A coefficient that is different for each pollutant type ROF = Rate of flow of the river (a measure of time)

Thus, the amount of each of these pollutants that remains in the water at any point downstream from a discharge point is dependent upon a coefficient value and the time that the pollutant has been in the water.

Figure C-l shows the shape of the curve for this equation for several values of ROF and with C=2. These curves are easy to understand and easy for players to deal with.

The actual decay equation for BOD is of the form

 $BOD_{t} = BOD_{t-1} [e^{-kt}] a$ 

a) Source: Robert Dorfman and Henry D. Jacoby, "A Model of Public Decisions Illustrated by a Water Pollution Policy Problem, "The Analysis and Evaluation of Public Expenditures: The PPBS System. Government Printing Office, Washington, 1969. (p. 269) where while the ball of the second state with the second s

k is a constant t = time

It would give shapes like those shown as dotted curves in Figure C-1. There is not enough difference between the shapes of these curves (they are not drawn to be as similar to one another as possible) to make an appreciable difference in the effects generated by the model and the playability of the RIVER BASIN MODEL.

#### Simplifications in the Water Component

Several additional water relationships could have been included in the model (some were designed but not implemented because it was thought that they would add more complexity than benefit to the use of the model):

1. Synergism among pollutants as they biodegrade. For example, the temperature of the water affects the rate at which nutrients biodegrade. Likewise, nutrients and BOD interact when in the water together.

2. Water absorption by water users. It was assumed for play ability purposes that all the water withdrawn by industries is returned to the system whereas in the real world CR would return only 95%, MP - 99%, TE - 92%, PA -94%, etc. On the average, industries absorb about 6% of the water.

3. Seasonal differences in water use. The water quality measured in the model is the average quality for a typical work day. No attempt was made to show the variations that might take place within a year.

4. The effects of urbanization. The urban run-off generated by various densities of development are not measured in the model.

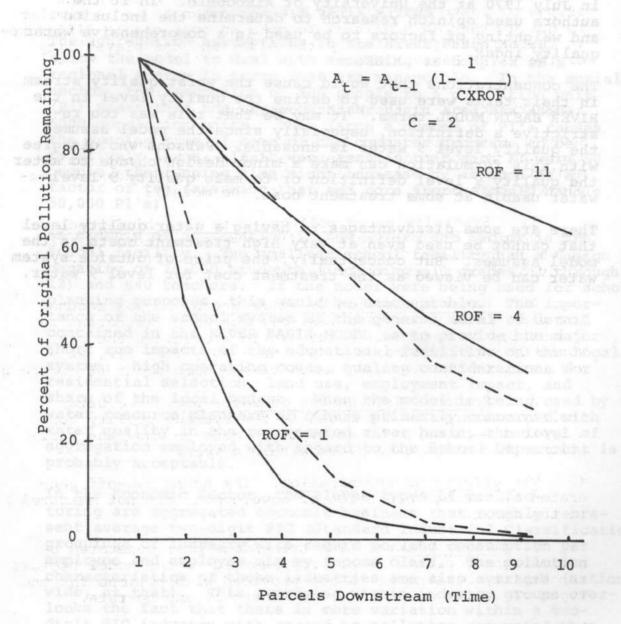
5. <u>Power plants</u>. The tremendous heat generated by nuclear power plants is not represented in the model because the Utility Department is not provided with options for the types of utility plants it may construct and operate.

It should be kept in mind, however, that all of these items could be added to the model with very little trouble.

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#### Figure C-1

Percent of Original Pollution Remaining as a Function of the Number of Parcels Downstream and the Rate of Flow of the River (Time).



99

#### Determination of Quality Levels

Estimates of what concentrations of pollutants in the model caused a level 9 water quality rating were derived from numbers contained in a paper entitled "A Water Quality Index --Do We Dare?" by Robert M. Brown, et al. This groundbreaking paper was prepared for the National Symposium on Data and Instrumentation for Water Quality Management held in July 1970 at the University of Wisconsin. In it the authors used opinion research to determine the inclusion and weighting of factors to be used in a comprehensive water quality index.

The concentrations that would cause the worst quality stream in their terms were used to define the quality level in the RIVER BASIN MODEL terms. It may be that this was too restrictive a definition, especially since the model assumes the quality level 9 water is unusable. Persons who disagree with this formulation can make a minor design change to alter the quality 9 level definition or to make quality 9 level water usable at some treatment cost.

There are some disadvantages to having a water quality level that cannot be used even at very high treatment costs as the model assumes. But conceptually, the price of outside system water can be viewed as the treatment cost for level 9 water.

# APPENDIX D

#### AGGREGATION IMPLICATIONS

BELECTED FILLIOGRAPHY

The aggregation assumptions in the River Basin Model allow the model to deal with something as complex as a regional system in terms that are manageable. In the social sector, the assumption of 500 people to a population unit (P1) means that the Cuyahoga River Basin area has about 5000 population units. This large a number of P1's causes the running time of some of the computer programs to be relatively large. If it were assumed that each P1 were 50 people, the running time might increase by as much as a factor of ten (assuming that the core storage could handle 50,000 P1's).

An example of aggregation in the Government Sector is the school facility. The smallest school facility has a design capacity of 20,000 students (including all grades, 1 through 12) and 840 teachers. If the model were being used for school planning purposes, this would be unacceptable. The importance of the school system at the general level of detail contained in the RIVER BASIN MODEL is to provide the major short run impacts of the educational facilities on the local system: high operating costs, quality considerations for residential selection, land use, employment impact, and share of the local budget. When the model is being used by water resource planners or others primarily concerned with water quality in the represented river basin, the level of aggregation employed with regard to the School Department is probably acceptable.

In the Economic Sector, the eleven types of manufacturing are aggregated economic business that roughly represent average two-digit SIC (Standard Industrial Classification) groupings of industry with regard to land consumption per employee and employee mix by income class. The pollution characteristics of these industries are also averages (nationwide, at that). This averaging within industry groups overlooks the fact that there is more variation within a twodigit SIC industry with regard to pollution generated than there is across average SIC groupings. For example, within the industry called CR (Chemicals and Rubber) in the model there are such real life activities as manufacturers of coal tar products, plastics, synthetic rubber, soaps, pharmaceutical preparations, paints, fertilizers, and explosives.

#### Example: Treatment Costs

Note that the cost of building a treatment plant varies per MGD for the Water Department but not for the industries. This means that economies of scale are represented in the public sector but not in the private sector. The reason for this is that a Waste Treatment Plant in the public sector of the model closely approximates in size the level of an actual real world facility. That is, treatment plants of 3 MGD or 13 MGD capacity **are** not of unreasonable size.

Due to aggregations in the model, however, the common factor to all of the level one industries in the economic sector is that they employ 10,000 workers. Thus a level one industry must be looked at as a conglomerate of smaller real life businesses. It was decided, therefore, not to represent economies of scale in the private sector because the increase in operation from a level one to a level two in the model must be viewed as the growth of a lot of small scale industries and/or the addition of a group of industries, each employing less than 10,000 workers, in the real world. The same reasoning explains why surface water users that have widely different water needs still pay the same cost per MG of treatment and treatment plant facilities.

Another assumption in the treatment cost for industries is that it costs all of them the same amount of money to improve the water quality of an MG of water. The only treatment costs that are different is the cost needed to treat water of the best quality and make it suitable for their particular production process.

groupings of industry with regard to land consumption per

characteristics of these insustries are also averages (mationwide, [st. Hist). This averaging within industry groups overlooks the fact that there is more variation within a twodigit SIC industry with regard to pollution generated than there, is across average SIC groupings. For example, within there are active to combine and Rubber in the model there are such teal life activities as manufacturers of goal tar products, plastics, syntheric rubber, scaps; pharmaceutical preparations, paints, fertilisers, and explosives.

#### APPENDIX E

#### SELECTED BIBLIOGRAPHY

The following bibliography is not meant to be exhaustive. It is a selection of the research material that we found most helpful in formulating the structure and some of the numbers in the model. A good part of our insights into the regional water management decision process was derived from conversations with persons from the Environmental Protection Agency and other environmental groups.

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#### APPENDIX F

#### The Units of Measure Used for Pollutants in the RIVER BASIN MODEL

The seven pollutant types are represented in the RIVER BASIN MODEL (RBM) with units of measure that were thought to be easiest for a layman to deal with. That is, the normal units of measure were not used when it was thought a typical user of the model would not have a "feeling" for the measure. Thus, metric system notation was avoided.

To some users of the RIVER BASIN MODEL, these measurement adjustments probably appear unusual and/or unrealistic. In order to convert the simplified measures of the model back to more standard notation, the following table may be used:

Pollutant RIVER BASIN MODEL		Corrected
Type Measure		Measure
BOD	LBS/MG	.12 mg/1
Chlorides	LBS/MG	.12 mg/1
Nutrients	LBS/MG	.12 mg/1
Coliform	PARTS/MG	5000/100 ml

The temperature measure used in RBM are deviations from 75°F. To convert these farenheit measures to centigrade readings, the deviations would be as indicated below:

ManufacturingPresentTypeRBM (F°)		Altered RBM (C°)
FO (Foods)	chalogi Suppose set	5.04
TA (Textiles)	18	10.08
PA (Paper)	16	8.96
CR (Chemicals)	4	2.24

Using centigrade, the deviations would be from 24°C.

The standard conversion from F° to C° is:

 $C^{\circ} = 5/9 (F^{\circ} - 32)$ 

Mater Quality Criteria. Report of the Mational. Mobulcal Movisory Committee to the Sebretary of the Interior, Federal Water Polibuion

#### Modification in Parameters for the RIVER BASIN MODEL

Brief inspection of the water parameters of the model by several knowledgeable people at EPA has revealed that modifications are called for in the amounts of pollution generated by land-use activities and in the effectiveness levels of the various treatment types.

#### Pollution Generated by Land-Use Activities

Figure 21 in the RBM Overview shows the amounts of pollution generated by each of the land-use types. A typographical error was readily noticed in the coliform generated by residential land uses vis-a-vis the other land uses. The residential generation of coliform pollution should be increased by a factor of 100.

A check against the <u>IRT-229-R</u> Study\* showed that the BOD generated per MG of water could be changed for the following industrial activities:

	BOB per MG	
Industry	RBM	IRT Study
FL Portog 28 of	600	not line another will be
SB	500	0
MP	1000	342
MF	500	0
NL	400	2000
EL TE	800	2000
TE	500	1700

\*A new source of data on the water pollution generated by various water users has been discovered that might improve upon the numbers used in the present version of the RIVER BASIN MODEL. The source is IRT-229-R, "Environmental Implications of Technological and Economic Change for the United States, 1967-2000. An Input/Output Analysis." (June 1971).

The following water pollutants are measured by land-use type in the IRT Study:

COD - substances with chemical oxygen demand BOD - substance with biological oxygen demand RO - refractory organics SS - suspended solids DS - dissolved solids N - nitrogen P - Phosphate compounds

The IRT Study omits toxic chemicals and atomic radiation (HLW) and persistent pollutants (CL) which are accounted for in the RIVER BASIN MODEL.

	BOB per MG	
Industry	RBM	IRT Study
FO	6000	10000
TA	6000	4684
PA	3000	3333
CR	2000	4000

The IRT Study also indicates that in the RBM, BOD for residential users is about half of what it should be per millions of gallons of water used.

#### Effectiveness of Treatment Types

EPA personnel in the Water Quality Office thought that the treatment effectiveness for BOD and Chlorides was overstated. Therefore, it was decided when the model is changed to reduce the effectiveness of primary treatment on BOD to 35 percent and to increase the effectiveness of secondary treatment to 90 percent (these estimates are identical to those contained in the IRT Study). Furthermore, the percent elimination of chlorides will be reduced to zero for secondary treatment and 50 for tertiary treatment. Tertiary treatment effectiveness on nutrients will be dropped from 99 percent to 95 percent.

# Cost of Treatment

In RBM, the cost of treating an MG of polluted water is a function of the type and size of the treatment facility for municipal plants. The least efficient tertiary water treatment plant (most expensive) costs \$300 per MG and the most efficient plant costs \$195/MG. All industrial treatment plants have the same cost per MG for each of the treatment types. The IRT Study showed the following costs by type of activity for secondary treatment:

FO	\$392/MG	
TA	322	
PA	405	
	207	
MP	301	
NE	239	
EL	270	
TE	220	

The IRT Study omits toxic chemicals and atomic radiation (HLW) and persistent pollutants (CL) which are accounted for in the suver party monet. The RIVER BASIN MODEL has a \$50 cost per MG for chlorination, \$100 for primary, \$200 for secondary, and \$300 for tertiary. These could be easily changed to be closer to the IRT figures.

The RIVER BASIN MODEL cost figures relate to the 1960 base year prices, whereas the IRT study figures relate to the 1967 base year. Thus, part of the explanation for the higher figures in the IRT Study is due to price inflation.

1 Accession Number	2 Subject Field & Group	SELECTED WATER RESOURCES ABSTRACTS
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6 THE RIVER BAS	IN MODEL: AN OVERVIEW	
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22 Citation		
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loyment, time allocat ctivity (revenue coll ange of private and p hese phenomena, and w Patterson - Enviromet:	the water system has o ion and the activity p ection and disbursemen ublic policy decisions hich impact the enviro rics)	e RIVER BASIN MODEL computer package illus- n such phenomena as housing selection, em- attersn that result, and government budgetan t). The users of the model may make a wide which affect the simulations for each of nmental quality of the represented area.
Philip D. Patterson	Institution	netrics, Inc. CUMENT, TO: WATER RESOURCES SCIENTIFIC INFORMATION CENT

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